Retrieval of Slant Water Vapor Path and Slant Liquid Water from Microwave Radiometer Measurements during the DYNAMO Experiment

Swaroop Sahoo, Xavier Bosch-Lluis, Steven C. Reising, *Senior Member, IEEE*, Scott M. Ellis, Jothiram Vivekanandan, *Senior Member, IEEE*, and Paquita Zuidema

Abstract—Observations during the Dynamics of the Madden-6 7 Julian Oscillation (DYNAMO) experiment focused on sensing atmospheric parameters, including vertical moisture profiles, 8 9 cloud structure, precipitation processes, and planetary bound-10 ary layer properties, all of which are important for understanding and modeling the Madden-Julian Oscillation (MJO). 11 12 These observations were performed using a variety of *in-situ* and remote sensors, including the S-band polarimetric and Ka-13 14 band (S-PolKa) radar, deployed by the National Center for 15 Atmospheric Research (NCAR), and a colocated University of 16 Miami microwave radiometer (UM-radiometer) operating at 23.8 and 30.0 GHz. These instruments sampled approximately the 17 18 same volumes of the atmosphere at a variety of azimuth and ele-19 vation angles. The principal goal of this study is to develop a 20 new retrieval strategy to estimate slant water vapor path (SWP) 21 and slant liquid water (SLW) using UM-radiometer measure-22 ments from zenith to low elevation angles at a variety of azimuth 23 angles. Retrievals of SWP along the radar signal path help to 24 determine the error in radar reflectivity due to water vapor 25 absorption. The retrieval algorithm has been developed using 26 the vapor-liquid water ratio (VLWR) as well as both modeled 27 and measured brightness temperatures for zenith to low elevation angles. Observation system simulation experiment (OSSE) results 28 29 and measured radiosonde data have been used to determine that 30 the retrieval uncertainty is less than 5% for integrated water vapor 31 (IWV) and less than 12% for integrated liquid water (ILW). OSSE results for SWP show that the retrieval uncertainty is less than 32 33 8% at 5° elevation angle and less than 5% at 7° and 9°, while the mean difference between SWP retrieved from radiometer mea-34 35 surements and those retrieved from the S-PolKa radar during the 36 DYNAMO campaign is less than 10% at 5° elevation angle and 37 less than 7.5% at 7° and 9°. OSSE results for SLW show that the mean error is less than 24% for 5° elevation angle and less than 38

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S. Sahoo is with the School of Electrical and Electronics Engineering, Kalinga Institute of Industrial Technology University, Bhubaneswar 751024, India (e-mail: swaroop.sahoofel@kiit.ac.in).

X. Bosch-Lluis and S. C. Reising are with the Microwave Systems Laboratory, Department of Electrical and Computer Engineering, Colorado State University, Fort Collins, CO 80523 USA (e-mail: xavier.bosch-lluis@ colostate.edu; steven.reising@colostate.edu).

S. M. Ellis and J. Vivekanandan are with the Earth Observing Laboratory, University Center for Atmospheric Research, Boulder, CO 80301 USA (e-mail: sellis@ucar.edu; vivek@ucar.edu).

P. Zuidema is with the Rosenstiel School of Marine and Atmospheric Science, University of Miami, Miami, FL 33149 USA (e-mail: pzuidema@ rsmas.miami.edu).

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18% for 7° and 9°. Such retrievals of SWP and SLW help to char-
acterize the distribution of water vapor and liquid water in the
lower troposphere, which in turn may contribute to improvements
in forecasting of convective initiation and precipitation.39414142

Index Terms—Atmospheric measurements, humidity, 43 microwave radiometry, remote sensing, slant liquid water 44 (SLW), slant water path. 45

I. INTRODUCTION

RECIPITABLE water vapor (PWV) plays an important role in the initiation of built 47 role in the initiation of both convection and precipitation 48 [1], [2]. Continuous observations of PWV can be useful in fore-49 casting both cloud formation and precipitation. Therefore, it 50 is important to retrieve PWV with fine temporal and spatial 51 resolution from remote sensing measurements. On the other 52 hand, measuring cloud liquid water path (LWP) with high accu-53 racy is required for understanding the impact of clouds on the 54 Earth's climate and radiation budget [3]. Various algorithms 55 and microwave instruments [4], [5] have been developed for 56 retrieval of both integrated water vapor (IWV) and integrated 57 liquid water (ILW) from measured brightness temperatures. 58 Retrieval algorithms developed by Liljegren et al. [4] and used 59 by Westwater [5] relate the mean radiating temperatures and 60 measured microwave brightness temperatures at two frequen-61 cies to the total opacities at those frequencies. One of these 62 frequencies is near the 22.235-GHz water vapor absorption 63 line, and the other is between 29 and 33 GHz, in a window 64 region that is primarily affected by liquid water. These total 65 opacities are related to IWV and ILW through a linear rela-66 tionship using statistically determined and site-specific retrieval 67 coefficients [5], [6]. Some microwave radiometric retrieval 68 algorithms also make use of *in-situ* surface meteorological mea-69 surements, including pressure, water vapor partial pressure, and 70 temperature, to estimate IWV and ILW [4], [6]. A Bayesian 71 optimal estimation retrieval technique has been used to retrieve 72 the total liquid water content along with humidity and tem-73 perature profiles, in what is called the "integrated profiling 74 technique" [7]. Total water vapor, liquid water, and ice content 75 can be estimated from radiometer measurements using neu-76 ral network-based inversions, as developed by Li et al. [8]. 77 Hogg et al. [9] developed a steerable dual-frequency radiome-78 ter to retrieve slant water vapor path (SWP) and slant liquid 79 water (SLW) at elevation angles of 20° and 90°, whereas Braun 80 et al. [10] compared the SWP retrieved using a ground-based 81 global positioning system (GPS) receiver with that using a 82

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F1:1 Fig. 1. (*Left*) Locations of the UM-radiometer (shown by the yellow disk in
F1:2 the upper left) and the DOE radiometer (shown by the orange disk in the lower
F1:3 middle) on Gan Island, Maldives. (*Right*) Location of the Maldive Islands in
F1:4 the equatorial Indian Ocean.

microwave radiometer to determine the accuracy of water vapor 83 path retrieved at elevation angles above 10°. However, the 84 retrieval of SWP and SLW from slant-path microwave radiome-85 ter measurements has not been explored in detail for elevation 86 angles below 10°. Estimation of SWP and SLW at elevation 87 88 angles below 10° can be useful to determine advection of water vapor and to improve understanding of cloud development in a 89 90 particular area. This in turn can aid in precipitation forecasting, since the presence of clouds with high liquid water content is 91 92 usually associated with precipitation and severe storms [9].

93 This work focuses on the development of a new retrieval strategy using the vapor-liquid water ratio (VLWR) to estimate 94 SWP and SLW using ground-based brightness temperature 95 measurements performed from zenith to low elevation angles 96 during DYNAMO. This algorithm minimizes the squared dif-97 98 ferences between the measurements and the results from models developed using SWP and SLW from radiosondes launched 99 from the nearby Department of Energy (DOE) Atmospheric 100 Radiation Measurement (ARM) site. In this study, VLWR has 101 been developed and its sensitivity to both water vapor and liquid 102 103 water has been analyzed.

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II. EXPERIMENT DESCRIPTION

Dynamics of the Madden–Julian Oscillation The 105 (DYNAMO) [11] field campaign was conducted in the 106 central equatorial Indian Ocean between September 1, 2011 107 and January 5, 2012 [12]. It was endorsed by the World 108 109 Climate Research Programme and was led by research 110 groups from the University of Miami and the University of Washington. The DYNAMO experiment was primarily 111 112 designed to improve understanding of the Madden-Julian Oscillation (MJO) [13] and its initiation in that region based 113 on observations of vertical moisture profiles, cloud struc-114 115 ture, precipitation processes and planetary boundary layer properties. As part of the DYNAMO campaign, NCAR 116 deployed the S-PolKa (dual-wavelength S- and Ka-bands) [14] 117 radar, and the University of Miami deployed a two-channel 118 microwave radiometer (UM-radiometer) [15]. The S-PolKa 119 radar and the UM-radiometer were co-located on Gan Island 120 in the Maldives in the equatorial Indian Ocean. A second 121 two-channel microwave radiometer [15] was deployed at the 122 U.S. DOE's ARM Site on Gan Island, approximately 8.5 km 123 southeast of the UM-radiometer, as shown in Fig. 1. Both 124 the UM-radiometer and the DOE radiometer have radiometer 125 channels at the two measurement frequencies of 23.8 and 126

30.0 GHz. In addition, radiosondes were launched eight 127 times daily (every 3 h) from the DOE ARM site during 128 DYNAMO to provide *in-situ* data on atmospheric conditions. 129 The S-PolKa radar was deployed to monitor clouds and to 130 measure the intensity and type of precipitation. It performed 131 360° scans in azimuth and measured at elevation angles of 132 0.5° , 1.5° , 2.5° , 3.5° , 5.0° , 7.0° , 9.0° , and 11.0° . 133

The UM-radiometer performed brightness temperature measurements over a range of azimuth angles from -50° to 135 $+150^{\circ}$ (referenced to north at 0°) and at elevation angles of 136 5° , 7°, 9°, 11°, 30°, 45°, and 90°. These brightness temperature measurements were performed continuously in time and have been used to estimate SWP and SLW during clear and cloudy skies. 140

III. DEFINITION AND DISCUSSION OF VLWR 141

Water vapor in the atmosphere strongly influences brightness 142 temperatures at 23.8 GHz due to the proximity of this frequency 143 to the water vapor absorption line at 22.235 GHz. On the other 144 hand, 30.0 GHz is a window frequency between the water 145 vapor line and the oxygen absorption complex near 60 GHz, so 146 30.0-GHz brightness temperatures are mostly affected by liq-147 uid water. Taking this into account, the VLWR is defined as the 148 ratio of the brightness temperature at 23.8 GHz, T_{B23.8}, to that 149 at 30.0 GHz, T_{B 30.0}, as 150

VLWR
$$(\rho_v, \rho_l, P, T) = \frac{T_{B23.8}}{T_{B30.0}}$$
 (1)

where ρ_v is the water vapor density, ρ_l is the liquid water 151 density, P is the atmospheric pressure, and T is the physical 152 temperature of the atmosphere. 153

Since VLWR is sensitive to changes in $T_{B_{23,8}}$ and $T_{B_{30,0}}$, 154 it is sensitive to water vapor density, liquid water density, tem-155 perature, and pressure, as well as to scattering, which occurs 156 principally in the presence of large water droplets and/or ice 157 particles. Atmospheric temperature has a minimal effect on 158 brightness temperatures at these frequencies. The pressure pro-159 file is typically slowly varying in time and has a second-order 160 impact. Therefore, VLWR is principally sensitive to changes in 161 water vapor ρ_v and liquid water ρ_l . This method is related to 162 that used by Bosisio et al. [16] to analyze precipitation events. 163

A theoretical analysis has been performed to determine the 164 sensitivity of VLWR to water vapor density ρ_v and liquid water 165 density ρ_1 . The sensitivities of VLWR to each of these quanti-166 ties are considered separately to improve understanding of the 167 fundamental relationships among these quantities. The deriva-168 tion of the sensitivity of VLWR to water vapor and liquid water 169 is based on the partial derivatives of the radiative transfer equa-170 tion (RTE) at 23.8 and 30 GHz and is described in Appendix I 171 of this paper. 172

A. VLWR Sensitivity to Water Vapor 173

Analyzing the sensitivity of VLWR to water vapor density 174 using (I5), (I6), and (I7) in Appendix I involves calculation of 175 $T_{B23.8}$ and $T_{B30.0}$ at a variety of elevation angles from 5° to 176 90°. This calculation is performed using 100 atmospheric profiles measured by radiosondes launched from the ARM site on 178



F2:1 Fig. 2. VLWR values as a function of SWP for the range of SWP at elevation F2:2 angles from 5° to 90° .

Gan Island during October 2011. In this analysis, the selected 179 radiosondes were for clear sky conditions, so the liquid water 180 density is set to zero in the simulations. The modeled VLWR 181 values for elevation angles from 5° to 90° are based on sim-182 ulated brightness temperatures and are shown in Fig. 2 as a 183 function of SWP. VLWR is in the range of 1.8 to 2.2 for eleva-184 tion angles from 50° to 90°, in the range of approximately 1.7185 to 2 for elevation angles from 20° to 30° , and less than 1.7 for 186 elevation angles from 5° to 11°. The VLWR values are approx-187 188 imately proportional to SWP for elevation angles from 30° to 90° and nearly independent of changes in SWP for elevation 189 angles from 15° to 20°. In contrast, VLWR decreases as SWP 190 increases for elevation angles from 5° to 11°. 191

Based on the simulation results and the theoretical water vapor sensitivity analysis, the sensitivity of VLWR to water vapor in the atmosphere, i.e., $\frac{\partial VLWR}{\partial \rho_v}$, has three distinct regions, depending on the elevation angle of measurement, as explained below.

1) $\frac{\partial VLWR}{\partial c} > 0$: The VLWR increases with water vapor den-197 sity for elevation angles from 30° to 90°, as shown in 198 Fig. 2. For this region, $\frac{\partial \alpha_{23.8v}(s)}{\partial \rho_v} \gg \alpha_{23.8v}(s) \frac{\partial \tau_{23.8v}}{\partial \rho_v}$ and 199 $\frac{\partial \alpha_{30v}(s)}{\partial \rho_v} > \alpha_{30v}(s) \frac{\partial \tau_{30v}}{\partial \rho_v}.$ An increase in the absorption 200 coefficients at 23.8 and 30.0 GHz (due to an increase 201 202 in water vapor density) has greater impact on VLWR sensitivity than an increase in path length due to increas-203 204 ing zenith angle does. However, 23.8 GHz is closer to the water vapor line; therefore, the sensitivity of the 205 absorption coefficient at 23.8 GHz is greater than that 206 207 208

absorption coefficient at 25.8 GHz is greater than that at 30 GHz. Therefore, $\frac{\partial \alpha_{23.8v}(s)}{\partial \rho_v} - \alpha_{23.8v}(s) \frac{\partial \tau_{23.8v}}{\partial \rho_v} \gg \frac{\partial \alpha_{30v}(s)}{\partial \rho_v} - \alpha_{30v}(s) \frac{\partial \tau_{30v}}{\partial \rho_v}$, and consequently A > B. $\frac{\partial VLWR}{\partial \rho_v} \approx 0$: The VLWR is nearly independent of changes in water vapor density for elevation angles from 15° to 20°. For this region, $\frac{\partial \alpha_{23.8v}(s)}{\partial \rho_v} > \alpha_{23.8v}(s) \frac{\partial \tau_{23.8v}}{\partial \rho_v}$ and $\frac{\partial \alpha_{30v}(s)}{\partial \rho_v} > \alpha_{23.8v}(s) \frac{\partial \tau_{23.8v}}{\partial \rho_v}$ 2) 209 210 211 $\frac{\partial \alpha_{30v}(s)}{\partial \rho_v} > \alpha_{30v}(s) \frac{\partial \tau_{30v}}{\partial \rho_v}$. An increase in the sensitivity of the absorption coefficients at 23.8 and 30.0 GHz (due 212 213 to an increase in water vapor density) is nearly bal-214 anced by an increase in path length due to increasing 215 zenith angle. However, the sensitivity of the absorp-216 tion coefficient at 23.8 GHz is still greater than that at 217 30 GHz. So, $\frac{\partial \alpha_{23.8v}(s)}{\partial \rho_v} - \alpha_{23.8v}(s) \frac{\partial \tau_{23.8v}}{\partial \rho_v} > \frac{\partial \alpha_{30v}(s)}{\partial \rho_v} - \alpha_{30v}(s) \frac{\partial \tau_{30v}}{\partial \rho_v} = \alpha_{30v}(s) \frac{\partial \tau_{30v}}{\partial \rho_v}$ and $A \approx B$. 218 219

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3) $\frac{\partial \text{VLWR}}{\partial \rho_v} < 0$: The region in which VLWR decreases 220 with increasing water vapor corresponds to elevation 221 angles from 5° to 11°. For this region, $\frac{\partial \alpha_{23.8v}(s)}{\partial \rho_v} \approx 222$ $\alpha_{23.8v}(s) \frac{\partial \tau_{23.8v}}{\partial \rho_v}$ and $\frac{\partial \alpha_{30v}(s)}{\partial \rho_v} > \alpha_{30v}(s) \frac{\partial \tau_{30v}}{\partial \rho_v}$, so A < 223 *B*. An increase in path length due to increasing zenith 224 angle has greater impact than an increase in the absorp-225 tion coefficient at 23.8 GHz does (due to an increase 226 in water vapor density). However, the sensitivity of the 227 absorption coefficient at 30 GHz is still greater than 228 that of path length. So, $\frac{\partial \alpha_{23.8v}(s)}{\partial \rho_v} - \alpha_{23.8v}(s) \frac{\partial \tau_{23.8v}}{\partial \rho_v} < 229$ $\frac{\partial \alpha_{30v}(s)}{\partial \rho_v} - \alpha_{30v}(s) \frac{\partial \tau_{30v}}{\partial \rho_v}$, and consequently A < B. 230 This dependence of VLWR on elevation angle is due to both 231

This dependence of VLWR on elevation angle is due to both 231 the distribution of water vapor in the atmosphere, which is 232 larger near the ground, and the path length along the radiometer's field of view close to ground level since longer path lengths 234 correspond to lower elevation angles. 235

B. VLWR Sensitivity to Liquid Water

The analysis in the previous section focuses on the sensi-237 tivity of VLWR to water vapor under clear sky conditions. 238 Here, the effect of liquid water on VLWR is considered during 239 nonprecipitating conditions. IWV is held constant at a value 240 of 3.12 cm, where IWV is the same as SWP at 90° elevation 241 angle, while the ILW (and by extension, SLW) is varied. 242 Humidity profiles from radiosondes are used to compute liquid 243 water density [6] profiles. The profiles of liquid water density 244 and water vapor density are used to calculate absorption 245 coefficients at 23.8 and 30.0 GHz using atmospheric absorption 246 models [5] by Rosenkranz [17] and Liebe et al. [18] in this 247 frequency range. Liquid water density is calculated from 248 radiosonde data using [6] as 249

$$W = \begin{cases} 0 & RH < b_0 \text{ or } T < 240 K \\ 2 \left(\frac{RH - b_0}{30\%}\right)^2 & RH > b_0 \text{ and } T > 240 K \end{cases}$$
(2)

where

- W liquid water density in g/m^3 ; 252
- RHrelative humidity;253b_0threshold relative humidity percentage for liquid254water formation set at 85%;255Tphysical temperature.256

Liquid water profiles are used to calculate the liquid water 257 absorption coefficients as [19] 258

$$\mathbf{x}_{\text{fliquid}} = 6\pi 10^{-2} \frac{\text{Im}\left\{\boldsymbol{\epsilon}_{f}\right\}}{\left|\boldsymbol{\epsilon}_{f}+2\right|^{2}} \text{Wf}$$
(3)

where

$\alpha_{\rm fliquid}$	absorption coefficient in Np/km for the frequenc		
	i.e., 23.8 or 30.0 GHz;	261	
f	frequency;	262	

 $\epsilon_{\rm f}$ relative dielectric constant of liquid water [18].

Liquid water absorption coefficients can vary based on the 264 absorption model used, which in turn impacts the simulated 265 brightness temperatures. Liquid water absorption coefficients 266 are added to the dry and water vapor absorption coefficients, as 267 in (I4). The total absorption $\alpha_f(s)$ is used in (I2a) and (I2b) to 268



F3:1 Fig. 3. VLWR values as a function of SLW for the range of SLW at elevation F3:2 angles of 5° , 11° , 30° , 50° , and 90° .

simulate the values of $T_{B23.8}$ and $T_{B30.0}$, which are then used to 269calculate VLWR. Fig. 3 shows the relationship between VLWR 270 and SLW at elevation angles of 5° , 11° , 30° , 50° , and 90° . 271 Based on the above analysis, as the liquid water content 272 273 increases, VLWR decreases to near unity as the brightness temperatures at 23.8 and 30.0 GHz become similar in value. 274 However, the slope of the curves, or rate of decrease of VLWR 275 276 with increase in SLW, increases (becomes more negative) as the elevation angle increases, as shown in Fig. 3. 277

Using the results in Fig. 3 and the theoretical sensitivity analysis of $\frac{\partial VLWR}{\partial \rho_1}$, the sensitivity of VLWR to liquid water in the atmosphere has two distinct regions based on elevation angle.

 $\frac{\partial VLWR}{\partial c} \ll 0$: The first region with a large negative slope 1) 281 corresponds to elevation angles from 20° to 90°. For 282 this region, $\frac{\partial \alpha_{30.01}(s)}{\partial \rho_1} \gg \alpha_{30.01}(s) \frac{\partial \tau_{30.01}}{\partial \rho_1}$ (because the sensitivity of the absorption coefficient to the change 283 2.84in liquid water is much larger than the contribution 285 due to optical depth at 30 GHz) and $\frac{\partial \alpha_{23,81}(s)}{\partial \alpha}$ 286 $\frac{\partial \alpha_{30.01}(s)}{\partial \alpha_{30.01}} - \alpha_{30.01}(s) \frac{\partial \tau_{30.01}}{\partial \rho_{1}}$ $\alpha_{23.8l}\left(s\right)\frac{\partial\tau_{23.8l}}{\partial\rho_{l}}.$ So, 287 $\frac{\partial \alpha_{23.8l}(s)}{\partial \rho_l} - \alpha_{23.8l}\left(s\right) \frac{\partial \tau_{23.8l}}{\partial \rho_l} \ \ \, \text{and} \ \ \, T_{B_{23.8}} > T_{B_{30.0}},$ 288 and consequently $B \gg A$. 289 $\frac{\partial VLWR}{\partial c} < 0$: The second region with a smaller neg-290 2)

290 2) $\frac{\partial \rho_{1}}{\partial \rho_{1}} < 0$: The second region with a smaller negative slope corresponds to elevation angles of 11° or less. For this region, $\frac{\partial \alpha_{30,01}(s)}{\partial \rho_{1}} > \alpha_{30,01}(s) \frac{\partial \tau_{30,01}}{\partial \rho_{1}}$ (because of the increased contribution due to optical depth at low elevation angles) and $\frac{\partial \alpha_{23,81}(s)}{\partial \rho_{1}} > \alpha_{23,81}(s) \frac{\partial \tau_{23,81}}{\partial \rho_{1}}$. So, $\frac{\partial \alpha_{30,01}(s)}{\partial \rho_{1}} - \alpha_{30,01}(s) \frac{\partial \tau_{30,01}}{\partial \rho_{1}} \ge \frac{\partial \alpha_{23,81}(s)}{\partial \rho_{1}} - \alpha_{23,81}(s) \frac{\partial \tau_{23,81}}{\partial \rho_{1}}$ and $T_{B_{23,8}} > T_{B_{30,0}}$, and consequently $B \ge A$.

In addition, for liquid water, this dependence of VLWR on the elevation angle is due to the distribution of water vapor and liquid water in the atmosphere, as well as the path length of the atmosphere along the radiometer's field of view, with longer path lengths corresponding to lower elevation angles.

303 IV. RETRIEVAL OF IWV AND ILW FOR ZENITH 304 MEASUREMENTS

As seen in the previous section, VLWR is sensitive to liquid water and to some extent to water vapor, as well as the elevation angle of brightness temperature measurements. The sensitivity



Fig. 4. (a) Modeled brightness temperatures in Kelvin at 30 GHz and F4:1 (b) Modeled VLWR values for the range of IWV from 0 to 9 cm and the range F4:2 of ILW from 0 to 0.06 cm. F4:3

of VLWR to these parameters allows retrieval of both IWV and308ILW (both defined as total vertical column measurements) in309the atmosphere and also the SWP and SLW as a function of310elevation angle.311

A. IWV and ILW Retrieval Algorithm 312

Based on results of the sensitivity analysis of VLWR, a 313 retrieval algorithm was developed to estimate IWV and ILW, as 314 shown in (4). This algorithm minimizes the sum of the squared 315 differences between modeled and measured VLWRs and the 316 squared differences between modeled and measured brightness 317 temperatures at 30.0 GHz 318

$$\frac{\min \chi^2}{\tau_{23.8}, \tau_{30.0}} = |VLWR_{model} - VLWR'|^2 + |T_{B30,0model} - T'_{B30,0}|^2$$
(4)

310

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where

VLWR'

VLWR_{model}

VR' from 0 to 9 cm and the range of ILW from 321 0 to 0.06 cm; 322 VLWR calculated from measured brightness temperatures at 23.8 GHz and 324 30.0 GHz; 325 modeled and measured brightness tem-326

modeled VLWR for the range of IWV

 $T_{B30.0}$ and $T'_{B30.0}$ modeled and measured brightness temperatures at 30.0 GHz, respectively. 327

Brightness temperatures at 23.8 and 30.0 GHz are modeled 328 using IWV and ILW from 700 radiosonde profiles collected at 329 the ARM site on Gan Island during the months of June, July 330 and August 2011. These data were interpolated to generate a 331 brightness temperature model for the observed ranges of IWV 332 (2.1 to 6.8 cm) and ILW (0 to 0.04 cm) for a zenith pointing 333 radiometer, as shown in Fig. 4. However, the VLWR and $T_{B30.0}$ 334 values modeled for the range of IWV from 0 to 2.1 cm and 6.8 335 to 9 cm as well as for the range of ILW from 0.04 to 0.06 cm 336 have been extrapolated for this analysis, since the IWV values 337 measured by radiosondes were in the range of 2.1 to 6.8 cm, 338 and ILW values greater than 0.04 cm were not observed during 339 the DYNAMO experiment. 340

The modeled VLWR was calculated using (1) and (I2a), and 341 the results are shown in Fig. 4(b). The modeled VLWR is larger 342 than 2.0 when the ILW is less than 0.005 cm and the IWV is 343 greater than 2.8 cm. The modeled VLWR is less than or equal 344



F5:1 Fig. 5. Intersection of the two loci representing the two terms in (4) for F5:2 brightness temperature measurements on December 15, 2011 at 05:30 UTC.



F6:1 Fig. 6. Time series of estimated IWV from UM-radiometer measurements onF6:2 December 15, 2011, in comparison with IWV from radiosonde measurementsF6:3 on the same day.

to unity for ILW values greater than 0.045 cm for all values of IWV considered. Modeled VLWR and $T_{B30.0}$ calculated in this way are used to retrieve IWV and ILW from brightness temperatures measured by the UM-radiometer on December 15, 2011 at 05:30 UTC. The results of this retrieval are shown in Fig. 5.

The curve starting near the y-axis and ending on the x-axis 351 shows the locus of points, where the measured VLWR is equal 352 to the modeled VLWR, i.e., the minimum of the first term in 353 354 (4). From the first term, the VLWR (equal to 1.01 from mea-355 surements) could have been produced by a range of ILW from 0 to 0.045 cm and a range of IWV from 0 to 9 cm. The nearly 356 vertical curve in the figure shows the locus of points where the 357 measured $T'_{B30,0}$ and modeled $T_{B30,0}$ are equal, i.e., the min-358 imum of the second term in (4). From the second term, the 359 measured $T^\prime_{B30.0}$ could have been produced by a range of IWV 360 from 0 to 9 cm but by only a narrow range of ILW, from 0.025 361 to 0.035 cm. From the intersection of the two loci in Fig. 5, the 362 estimated values of the IWV and ILW are found to be 4.36 cm 363 and 0.032 cm, respectively. 364

This algorithm has been used to retrieve time series of IWV and ILW for December 15, 2011, as shown in blue in Figs. 6 and 7, respectively. IWV and ILW retrieved during precipitating conditions are represented by the green circles around the corresponding blue points. Precipitating conditions are defined as when the VLWR value is below an empirically determined threshold value of 1.2, based on the mean VLWR determined



Fig. 7. Time series of estimated ILW from UM-radiometer measurements on F7:1 December 15, 2011, in comparison with ILW from radiosonde measurements F7:2 on the same day. F7:3

for a variety of light precipitation events measured during372DYNAMO. The red circles in Figs. 6 and 7 show the IWV and373ILW, respectively, calculated from measurements using the nine374radiosondes launched on December 15, 2011.375

Retrieved IWV and ILW compare well with the IWV and 376 ILW measured by radiosondes. However, the IWV and ILW 377 from radiosondes launched at 02:30, 05:30 and 08:30 UTC 378 exhibit lower values of IWV than the retrieved values. This is 379 believed to be due to the fact that the DOE ARM radiosonde 380 launch site was 8.5 km southeast of the UM-radiometer, and 381 there was significant variability of water vapor and liquid water 382 on this spatial scale during that time period. 383

B. Observation System Simulation Experiment and Retrieval 384 Performance of a Zenith-Pointing Radiometer 385

An observation system simulation experiment (OSSE) was 386 performed to determine the uncertainty associated with the 387 retrieval algorithm used in the previous section. As part of 388 the OSSE, atmospheric measurements from 500 radiosondes 389 launched from the ARM site on Gan Island during August and 390 September 2011 were used to simulate brightness temperatures 391 at 23.8 and 30.0 GHz, from which the IWV and ILW were 392 estimated using (4). The uncertainty associated with the IWV 393 retrieval algorithm was calculated as the difference between the 394 estimated IWV and that measured by radiosondes. The average 395 IWV retrieval uncertainty was calculated in each of 10 bins of 396 0.25 cm width, and is shown in Fig. 8 to be 3.5%–4.5% for IWV 397 values from 4.0 to 6.5 cm. 398

Similarly, the uncertainty associated with the ILW retrieval 399 algorithm was calculated as the difference between the esti-400 mated ILW and that measured by radiosondes. The average 401 ILW retrieval uncertainty was calculated in each of 7 bins of 402 0.004 cm width, shown in Fig. 9 as 12% for ILW of 0.005 cm, 403 decreasing to 4% for ILW of 0.0175 cm or greater and decreas-404 ing to 3% for ILW of 0.0275 cm or greater. Retrieval uncer-405 tainties in both IWV and ILW from the OSSE have generally 406 similar values to the difference between retrieved values from 407 UM-radiometer data and interpolated values from radiosondes 408 during DYNAMO, as shown in Figs. 8 and 9, respectively. 409 The retrieval uncertainties from DYNAMO presented in Figs. 8 410 and 9 have been calculated for zenith measurements performed 411 during the period of December 1–15, 2011. 412



F8:1 Fig. 8. IWV retrieval uncertainty from OSSE (in red) and differenceF8:2 between radiometer retrievals and radiosonde data measured during DYNAMOF8:3 (in blue).



F9:1 Fig. 9. ILW retrieval uncertainty from OSSE (in red) and difference
F9:2 between radiometer retrievals and radiosonde data measured during DYNAMO
F9:3 (in blue).

V. RETRIEVAL OF SWP AND SLW FOR LOW ELEVATION ANGLE MEASUREMENTS

415 Microwave radiometer measurements performed at a vari-416 ety of azimuth angles from zenith to low elevation angles are used to retrieve SWP and SLW using (4). Models for $T_{B_{23.8}}$ 417 and $T_{B\,30.0}$ at 5°, 7°, 9°, and 11° elevation angles were devel-418 oped for a range of SWP and SLW. SWP and SLW have been 419 retrieved for October 11, 2011, at 21:35 UTC at the four low 420 elevation angles and at azimuth angles from -50° to $+150^{\circ}$. 421 The retrieved SWP and SLW are shown in Fig. 10(a) and (b), 422 423 respectively, on a director cosine plane, where θ and ϕ are the zenith and azimuth angles of measurement, respectively. For 424 elevation angles of 5° and 7°, retrieved SWP is from 27 cm to 425 65 cm, and it is from 20 cm to 42 cm for elevation angles of 426 9° and 11° . Similarly, retrieved SLW for elevation angles of 5° 427 and 7° is from 0.05 to 0.37 cm, and it is from 0.05 to 0.17 cm 428 429 for elevation angles of 9° and 11° .

430 The SLW at the elevation angle of 5° and azimuth angles 431 of -42° , 60° to 90° , and 95° to 105° are greater than at the 432 other azimuth angles. These correspond to precipitation, since 433 the VLWR values are between 1 and 1.1, i.e., below the 434 empirical precipitation threshold of 1.2. The radar reflectivity



Fig. 10. (a) Retrieved SWP and (b) SLW on October 11, 2011, at 21:35 UTC F10:1 for all azimuth angles measured and elevation angles of 5° , 7° , 9° , and 11° . F10:2



Fig. 11. Radar reflectivity PPI image at 5° elevation angle on October 11, 2011F11:1at 21:33 UTC [20].F11:2



Fig. 12. (a) Retrieval uncertainty of SWP at elevation angles of F12:1 5° , 7° , and 9° based on an OSSE (in red). Comparison between radar- and F12:2 radiometer-retrieved values of SWP (in blue). (b) Retrieval uncertainty of SLW F12:3 at elevation angles of 5° , 7° , and 9° based on an OSSE (in red). F12:4

plan position indicator (PPI) image in Fig. 11 [20] shows mea-435sured precipitation with a reflectivity of 20–35 dBZ along the436red segment at 65° azimuth angle.437

The performance of the retrieval algorithm for SWP and 438 SLW at low elevation angles is assessed using an OSSE 439 along with comparison of SWP radiometer retrievals with SWP 440 radar retrievals during the DYNAMO campaign. To implement 441 the OSSE, radiosonde-measured profiles are used to simulate 442 $T_{B23.8}$ and $T_{B30.0}$, which are then used to estimate SWP 443 and SLW at elevation angles of 5°, 7° and 9°. Uncertainties 444 associated with the retrieval algorithm were calculated as the 445 difference between the estimated SWP and SLW and the cor-446 responding quantities measured by radiosondes, with results as 447 shown in Fig. 12. 448

449 Next, SWP were retrieved using two independent measurement sources, the UM-radiometer and the NCAR S-PolKa 450 451 radar, colocated during the DYNAMO experiment. To compare SWP retrievals, the radar and radiometer performed simultane-452 ous measurements at 5° , 7° and 9° elevation angles to sample 453 454 common volumes of the atmosphere. The SWP retrievals from the radar and radiometer are based on different principles due to 455 different measurement physics. The radar measures the atten-456 uation of the signal due to water vapor from the radar to 457 the edge of a cloud or precipitation echo, so the range may 458 459 vary substantially from measurement to measurement [21], [22]. The retrieval of SWP from radar involves comparison 460 of the reflectivity from the edges of clouds and precipitation 461 at 2.8 GHz (S-band), which is not significantly attenuated by 462 463 water vapor, with those at 35 GHz (Ka-band), which is signifi-464 cantly attenuated. The attenuation value is then used to estimate 465 the SWP. In contrast, radiometers provide a more consistent range for SWP retrieval, although large values of attenua-466 tion often limit the range of the radiometer, depending on the 467 atmospheric conditions. For comparison of the two retrievals, 468 the radiometer-retrieved SWP is normalized by the equivalent 469 range of the atmosphere measured by the radiometer and scaled 470 by the radar range over which attenuation is measured. The 471 equivalent radiometer range for a particular elevation angle has 472 been computed using the path length of the atmosphere in the 473 direction of the radiometer field of view from which 95% of the 474 total measured power is emitted, as described in Appendix B. 475 Based on a planar atmosphere model, the equivalent radiometer 476 ranges have been calculated as 50, 44, and 37 km for elevation 477 angles of 5° , 7° , and 9° , respectively. 478

Finally, the radar-retrieved SWP values are subtracted from 479 the range-adjusted radiometer-retrieved SWP values to calcu-480 late the mean difference at each elevation angle as a percentage, 481 482 as shown in the blue points in Fig. 12(a), with error bars show ing the standard deviation of the differences. The differences 483 between these SWP retrievals are less than 10% for 5° eleva-484 tion angle, decreasing to less than 7.5% for 7° and 9° elevation 485 486 angles. Differences may be due to uncertainties in the retrieval from both the radar and radiometer, as well as to uncertainties 487 488 in the range normalization for the radiometer-retrieved values. Furthermore, it can be observed that both the mean differ-489 ence and its standard deviation decrease as the elevation angle 490 491 increases. This is due to uncertainties that decrease at higher elevation angles since the equivalent radiometer range is typ-492 ically longer than the actual radar range. For comparison, the 493 percentage mean error in SWP from the OSSE is less than 8% 494 at 5° elevation angle and less than 5% at 7° and 9° elevation 495 496 angles. The OSSE percentage errors are consistently approxi-497 mately 2% lower than the differences between SWP retrieved from radar and that retrieved from radiometer measurements 498 during DYNAMO. 499

The performance of the retrieval technique for estimation of 500 SLW is based on OSSE results only because no SLW infor-501 mation is available from the radar measurements. Fig. 12(b) 502 shows the error of the retrieved SLW at 5° , 7° , and 9° elevation 503 504 angles. Exhibiting similar behavior to SWP in elevation angle with different magnitudes, the error is less than 24% at 5° ele-505 vation angle and decreasing with increasing elevation angle to 506 less than 18% at 7° and 9° elevation angles. 507

508

VI. SUMMARY AND CONCLUSION

In this paper, a new retrieval strategy has been devel- 509 oped to retrieve SWP and SLW from ground-based microwave 510 radiometer measurements from zenith to low elevation angles. 511 To accomplish this, the VLWR has been defined as the ratio of 512 the brightness temperature at 23.8 GHz to that at 30.0 GHz. The 513 sensitivities of VLWR to both atmospheric water vapor and liq-514 uid water are found to change substantially with the elevation 515 angle of radiometer measurements. Fig. 2 shows the behavior 516 of VLWR due to changes in SWP and elevation angles dur-517 ing clear sky conditions. Fig. 3 shows the trend of VLWR for 518 changes in SLW at various elevation angles during nonprecip-519 itating conditions. SLW and SWP have been kept constant for 520 Figs. 2 and 3, respectively. The algorithm for retrieval of water 521 vapor and liquid water in the atmosphere is based on minimiza-522 tion of the sum of the squared difference between modeled and 523 measured VLWR and the squared difference between modeled 524 and measured 30.0-GHz brightness temperatures. The modeled 525 values of VLWR and $T_{B30.0}$ for a range of IWV and ILW are 526 shown in Fig. 4. Interpolation has been performed to determine 527 the models for the range of IWV from 2.1 to 6.8 cm and the 528 range of ILW from 0 to 0.04 cm. However, extrapolation has 529 been used instead of interpolation to determine the models for 530 the ranges of IWV from 0 to 2.1 cm and from 6.8 to 9 cm as 531 well as the range of ILW from 0.04 to 0.06 cm. The extrapolated 532 values for the range of IWV from 6.8 to 9 cm and the range of 533 ILW from 0.04 to 0.06 cm are higher than the highest realis-534 tic atmospheric values, but they do not affect the retrieval for 535 nonprecipitating conditions. Scattering has not been considered 536 while modeling the VLWR and $T_{B30.0}$, so the retrieval will have 537 larger errors than usual when the models are applied to medium 538 to heavy precipitating conditions. 539

The new retrieval strategy was validated using ground-540 based University of Miami (UM) microwave radiometer (UM-541 radiometer) measurements at 23.8 and 30.0 GHz performed 542 on Gan Island during the DYNAMO Experiment. Retrievals 543 of IWV and ILW from zenith pointing UM-radiometer mea-544 surements show good agreement between these quantities and 545 those calculated from radiosonde measurements, with differ-546 ences of less than 5% and 12% for IWV and ILW, respectively, 547 where IWV is for all weather conditions, and ILW includes 548 cloudy and precipitating conditions. The differences for ILW 549 retrievals are 12% for the lowest ILW values and rapidly 550 decrease with increasing ILW to less than 4% for ILW values 551 greater than 0.0175 cm. The differences between IWV and ILW 552 retrieved from UM-radiometer measurements and those calcu-553 lated from radiosonde measurements agree well with retrieval 554 uncertainties found using an OSSE. 555

The new retrieval strategy was also used to estimate SWP 556 and SLW from UM-radiometer measurements at low elevation 557 angles during DYNAMO. To the authors' knowledge, this 558 is the first time that microwave radiometer-retrieved SWP 559 has been validated by comparison with radar-retrieved SWP, 560 showing a mean difference of less than 10% at 5° eleva-561 tion angle and less than 7.5% at 7° and 9° elevation angles, 562 decreasing as the elevation angle increases. These mean dif-563 ferences and their dependence on elevation angle agree well 564 with SWP retrieval uncertainties found using an OSSE. For 565

liquid water, the OSSE shows that the retrieval error in SLW 566 is less than 24% at 5° elevation angle, decreasing to less than 567 18% at 7° and 9° elevation angles. Such retrievals of SWP 568 and SLW are useful for characterizing the spatial and tem-569 poral variation in the distribution of water vapor and liquid 570 water in the lower troposphere, which may in turn contribute 571 to improvements in forecasting of convective initiation and 572 573 precipitation.

574 Appendix I

575 The partial derivative of VLWR with respect to either water 576 vapor density or liquid water density is given by

$$\frac{\partial VLWR}{\partial \rho_x} = \frac{\partial \left(\frac{T_{B_{23,8}}}{T_{B_{30,0}}}\right)}{\partial \rho_x}$$
$$= \frac{T_{B_{30,0}} \left(\frac{\partial T_{B_{23,8}}}{\partial \rho_x}\right) - \left(\frac{\partial T_{B_{30}}}{\partial \rho_x}\right) T_{B_{23,8}}}{\left(T_{B_{30,0}}\right)^2} \qquad (I1)$$

where ρ_x is the density variable, and x represents v for water vapor density or l for liquid water density.

579 Brightness temperatures at 23.8 and 30.0 GHz may be 580 simulated using the RTE [5] given by

$$T_{B_f} = \int_{0}^{\infty} T(s) \alpha_f(s) e^{-\tau_f(0,s)} \sec(\theta) \, ds + T_{b0} e^{-\tau_f(0,\infty)}$$
(I2a)

$$\tau_f(0,s) = \int_0^s \alpha_f(s') \sec(\theta) \, ds' \tag{I2b}$$

581 where

582	$T\left(s ight)$	physical temperature of the atmosphere at height s
583		above the ground;
584	$\alpha_{f}\left(s\right)$	absorption coefficient at height s above the
585	-	ground at frequency f , and $\alpha_f(s) = \alpha_{fdry}(s) + $
586		$\alpha_{fvapor}(s) + \alpha_{fliquid}(s)$, in which α_{fdry} is
587		the dry component of the absorption coef-
588		ficient, and α_{fvapor} and $\alpha_{fliquid}$ are the
589		components of the absorption coefficient due
590		to water vapor and liquid water, respectively
591		[17], [18];
502	au c	atmospheric opacity at frequency f .

592 au_f atmospheric opacity at frequency f;

593 T_{b0} cosmic background brightness temperature (2.73 594 K, constant at these frequencies); 595 θ zenith angle.

5
$$\theta$$
 zenith angle

The RTE in (I2) takes into consideration that the diameter of water droplets in clouds is very small compared to the wavelength of the radiation, so the Rayleigh approximation can be used. Based on this approximation, only absorption models are used, and scattering can be neglected in the RTE. Continuing the derivation, the partial derivative of T_{B_f} with respect to ρ_x is

$$\frac{\partial T_{B_f}}{\partial \rho_x} \cong \frac{\partial}{\partial \rho_x} \int_0^\infty T(s) \,\alpha_f(s) \, e^{-\tau_f(0,s)} \sec\left(\theta\right) ds$$
$$= \int_0^\infty T(s) \, \frac{\partial}{\partial \rho_x} \left[\alpha_f(s) \, e^{-\tau_f(0,s)}\right] \sec\left(\theta\right) ds$$
$$= \int_0^\infty T(s) \, e^{-\tau_f(0,s)} \left[\frac{\partial \alpha_f(s)}{\partial \rho_x} - \alpha_f(s) \, \frac{\partial \tau_f}{\partial \rho_x}\right] \sec\left(\theta\right) ds \tag{13}$$

where the cosmic background temperature T_{b0} has been omitted 603 due to its minimal impact on the calculated brightness temperature. $\frac{\partial \alpha_f(s)}{\partial \rho_x}$ in (I3) consists of a dry component as well as 605 components due to water vapor and liquid water, as 606

$$\frac{\partial \alpha_f(s)}{\partial \rho_x} = \frac{\partial \alpha_{fdry}(s)}{\partial \rho_x} + \frac{\partial \alpha_{fvapor}(s)}{\partial \rho_x} + \frac{\partial \alpha_{fliquid}(s)}{\partial \rho_x}.$$
(I4)

The partial derivatives of the absorption coefficients at frequency f in (I4) are principally dependent on density ($\rho_x(s)$) 608 and to a lesser extent on temperature and atmospheric pressure 609 [17]. In addition, those parameters that vary most rapidly in 610 time are the water vapor density and liquid water density, while 611 the atmospheric temperature and pressure vary more slowly. 612 The value of $\frac{\partial \alpha_f(s)}{\partial \rho_x} - \alpha_f(s) \frac{\partial \tau_f}{\partial \rho_x}$ changes with the value of 613 ρ_x and also with the zenith angle of the measurement, θ , as 614 shown in (I2b). The factor $\frac{\partial \alpha_f(s)}{\partial \rho_x} - \alpha_f(s) \frac{\partial \tau_f}{\partial \rho_x}$ is positive when 615 $\frac{\partial \alpha_f(s)}{\partial \rho_x} > \alpha_f(s) \frac{\partial \tau_f}{\partial \rho_x}$, which occurs at low zenith angles, i.e., 616 at high elevation angles. In that case, the measured brightness 617 temperature increases linearly with ρ_x , as shown in Fig. 2 and 618 explained in Section III-A. On the other hand, as the zenith 619 angle θ increases, i.e., the elevation angle decreases, the value 620 of the term $\frac{\partial \alpha_f(s)}{\partial \rho_x}$ approaches that of $\alpha_f(s) \frac{\partial \tau_f}{\partial \rho_x}$, resulting in 621 $\frac{\partial \alpha_f(s)}{\partial \rho_x} \approx \alpha_f(s) \frac{\partial \tau_f}{\partial \rho_x}$. Substituting (I2) and (I3) into (I1), we 622 obtain

$$\frac{\partial}{\partial \rho_x} \left(VLWR \right) = \frac{A - B}{\left(T_{B_{30.0}} \right)^2} \tag{15}$$

where

$$A = T_{B_{30.0}} \int_{0}^{\infty} T(s) e^{-\tau_{23.8}(0,s)} \left[\frac{\partial \alpha_{23.8}(s)}{\partial \rho_x} -\alpha_{23.8}(s) \frac{\partial \tau_{23.8}}{\partial \rho_x} \right] \sec(\theta) \, ds \tag{I6}$$

$$B = T_{B_{23.8}} \int_{0}^{\infty} T(s) e^{-\tau_{30.0}(0,s)} \left[\frac{\partial \alpha_{30.0}(s)}{\partial \rho_{x}} -\alpha_{30.0}(s) \frac{\partial \tau_{30.0}}{\partial \rho_{x}} \right] \sec(\theta) \, ds.$$
(I7)

The term $(T_{B_{30,0}})^2$ exhibits a monotonically increasing positive dependence on both water vapor density ρ_v and liquid water density ρ_l . It changes the magnitude of the derivative in (I5), but the sign of the derivative is determined by the relative values of A and B. The two terms A and B are dependent on both water vapor density and liquid water density. Their values determine whether the overall VLWR in (I5) has either a positive, negative or relatively little dependence on ρ_x .

APPENDIX II 633

A simulation-based study is performed to determine the 634 equivalent range of a microwave radiometer at a variety of elevation angles. The atmosphere is considered to be horizontally stratified as in Fig. 13, and most of the water vapor is assumed to be in the lowest 10 km of the troposphere. 638

First, brightness temperatures are simulated for each fre- 639 quency using the RTE given by (I2) up to 10 km altitude in 640

624



F13:1 Fig. 13. Radiometer scanning at a variety of elevation angles.



F14:1 Fig. 14. Dependence of the radiometric range on zenith angle.

the troposphere, without considering the radiometer range, as 641 642 shown in Fig. 13. Then, brightness temperatures are again simulated using the RTE corresponding to each elevation angle, this 643 time constraining the range instead of the altitude. The range for 644 645 which the brightness temperature calculated in the second step is 95% of that simulated in the first step is considered the actual 646 647 radiometer range. This process is repeated for elevation angles of 90° to 5° to find the radiometer range with respect to eleva-648 649 tion angle. The radiometer range depends upon the amount of atmospheric attenuation, which in turn varies with changes in 650 651 the temperature and water vapor density in the atmosphere. To take into account this uncertainty, the radiometer range is calcu-652 653 lated for a variety of atmospheric conditions over a wide range 654 of temperature and water vapor density, including cases of light precipitation. 655

656 As shown in Fig. 14, the equivalent radiometer range is 657 10 km for zenith angles of 0° to 35° , and it increases from 10 to 55 km for zenith angles of 35° to 85° . The standard deviation of 658 range is 1 km for 0° zenith angle and increases to 5 km for 85° 659 zenith angle. These equivalent ranges have been calculated for 660 weather conditions at Gan Island during the DYNAMO exper-661 iment, and they are expected to change for different locations 662 and weather conditions. 663

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Swaroop Sahoo received the B.Tech. degree in electrical engineering from the Biju Pattnaik University737of Technology, Bhubaneswar, India, in 2005, and the739M.S. and Ph.D. degrees in electrical engineering from740Colorado State University, Fort Collins, CO, USA, in741May 2011 and May 2015, respectively.742He developed a new algorithm to retrieve slant743

He developed a new algorithm to retrieve slant 743 water path and slant liquid water using radiometer 744 measurements at low elevation angles. 745

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Xavier Bosch-Lluis received the Master's degree in telecommunication engineering specialized in communications systems, the Master of Science degree in research on information and communication technologies (MERIT), the Master's degree in electronics engineering, and the Ph.D. degree in department of signal theory and communications from the Universitat Politècnica de Catalunya (UPC) in 2005, 2007, 2010, and 2011, respectively.

Since April 2011, he has been a Post-Doctoral Researcher with the Microwave Systems Laboratory,

Researcher with the Microwave Systems Laboratory,
Electrical and Computer Engineering Department, Colorado State University,
Fort Collins, CO, USA. His research interests include developing innovative radiometer systems and retrieval algorithms for passive microwave and
millimeter-wave remote sensing.

Steven C. Reising (S'88–M'98–SM'04) received the B.S.E.E. (magna cum laude) and M.S.E.E. degrees in electrical engineering from Washington University in St. Louis, Saint Louis, MO, USA, and the Ph.D. degree in electrical engineering from Stanford University, Stanford, CA, USA, in 1998.

He has been a Full Professor of Electrical and Computer Engineering with Colorado State University (CSU), Fort Collins, CO, USA, since July 2011, where he served as Associate Professor from August 2004 to June 2011. Before joining the CSU

772 faculty in 2004, he served as an Assistant Professor of Electrical and Computer 773 Engineering with the University of Massachusetts Amherst, Amherst, MA, 774 USA, where he received tenure. He served as a Summer Faculty Fellow for 775 three summers in the Remote Sensing Division, Naval Research Laboratory, 776 Washington, DC, USA. He has been the Principal Investigator of more than 777 12 grants from the National Science Foundation (NSF), NASA, Office of 778 Naval Research (ONR), National Polar-orbiting Operational Environmental 779 Satellite System Integrated Program Office, European Space Agency, and 780 Ball Aerospace and Technologies Corporation. His research interests include 781 a broad range of remote sensing disciplines, including passive microwave 782 and millimeter-wave remote sensing of the oceans, atmosphere, and land; 783 microwave and millimeter-wave integrated circuits and radiometer systems; 784 lidar systems for sensing of temperature and winds in the middle and upper 785 atmosphere; atmospheric electrodynamics; and low-frequency remote sens-786 ing of lightning and its energetic coupling to the ionosphere, which produces 787 chemical changes and optical emissions.

788 Dr. Reising serves as the Vice President of Information Resources (2011-789 present) and formerly as the Vice President of Technical Activities (2008-2010) 790 of the IEEE Geoscience and Remote Sensing Society (GRSS). He has been 791 serving as an elected member of the IEEE Microwave Theory and Techniques 792 Society (MTT-S) Administrative Committee (AdCom) since January 2014, 793 for which he is currently a Chair of the Inter-Society Committee. He has 794 been serving as an elected member of the IEEE GRSS AdCom continuously 795 since 2003, after 3-year terms as Editor of the GRSS Newsletter (2000-796 2002) and Associate Editor for University Profiles (1998-2000). He was 797 an Associate Editor of the IEEE TRANSACTIONS ON GEOSCIENCE AND 798 REMOTE SENSING LETTERS (GRSL) from its founding in 2004 to 2013. 799 He has been a Guest Editor of IEEE TRANSACTIONS ON GEOSCIENCE 800 AND REMOTE SENSING (TGRS) for the International Geoscience and Remote Sensing Symposium (IGARSS) 2012 Special Issue published in September 801 2013, the IGARSS 2008 Special Issue published in November 2009, and the 802 803 Special Issue on Microwave Radiometry and Remote Sensing Applications 804 published in July 2007. He has served as a Reviewer for TGRS, GRSL, 805 the IEEE TRANSACTIONS ON MICROWAVE THEORY AND TECHNIQUES, 806 Remote Sensing of Environment, the Journal of Atmospheric and Oceanic 807 Technology, the Journal of Geophysical Research-Oceans, Geophysical 808 Research Letters, Marine Geodesy, Atmospheric Chemistry and Physics, the

Journal of Oceanography, and Radio Science. In organizing scientific meet-809 ings, he was one of two Technical Program Co-Chairs of the IEEE IGARSS 810 2008 in Boston, MA, USA, with over 1700 attendees. He served as the General 811 Chair of MicroRad'06, the 9th Specialist Meeting on Microwave Radiometry, 812 held in March 2006 in San Juan, Puerto Rico, with 126 attendees from 15 813 countries. He was the Local Arrangements Chair for IGARSS 2006 in Denver, 814 with over 1250 attendees. He has been an active participant in each IGARSS 815 Technical Program Committee from 2001-present. He serves the URSI as the 816 Immediate Past Chair (2015-2017), and previously as Chair (2012-2014), and 817 Secretary and Chair-Elect (2009-2011) of its USNC, consisting of ten scien-818 tific commissions focusing on the theory and applications of electromagnetics 819 and radio waves from ultra-low frequencies to Terahertz. He chaired the first 820 three URSI International Student Paper Prize Competitions at the URSI General 821 Assemblies and Scientific Symposia held in Chicago, Illinois in 2008; Istanbul, 822 Turkey in 2011; and Beijing, China in 2014. Previously, he chaired the Annual 823 USNC-URSI Student Paper Prize Competition at the National Radio Science 824 825 Meeting in Boulder, CO, USA, each year from 2004-2008 and at the URSI North American Radio Science Meeting in Ottawa, ON, Canada, in 2007. In 826 addition, he has served as Technical Program Co-Chair for the USNC-URSI 827 National Radio Science Meetings held each January in Boulder, CO, USA, 828 from 2010 to 2014. He served as the Secretary of USNC-URSI Commission F 829 830 (2006–2008) and is a member of URSI Commissions F. G. and H. the American Meteorological Society, the American Geophysical Union, Tau Beta Pi, and Eta 831 Kappa Nu. He was the recipient of the NSF CAREER Award (2003-2008) in 832 the areas of physical and mesoscale dynamic meteorology and the ONR Young 833 Investigator Program Award (2000-2003) for passive microwave remote sens-834 835 ing of the oceans, the Barbara H. and Joseph I. Goldstein Outstanding Junior Faculty Award in 2004, the Lilly Teaching Fellowship for 2001-2002, and a 836 Young Scientist Award at the URSI General Assembly in Toronto, Canada, in 837 1999. While at Stanford, he received first place in the United States National 838 Committee (USNC) of URSI Student Paper Competition at the 1998 National 839 840 Radio Science Meeting in Boulder, CO, USA.

Scott M. Ellis, photograph and biography not available at the time of publication. 841



Jothiram Vivekanandan (M'05-SM'10) received 843 the B.E. degree in electronics and communications 844 engineering from the Madurai Kamaraj University, 845 Madurai, India, the M.Tech. degree in microwave 846 and radar engineering from the Indian Institute 847 of Technology, Kharagpur, India, and the Ph.D. 848 degree in electrical engineering from Colorado State 849 University, Fort Collins, CO, USA, in 1986. 850

He holds a Senior Scientist appointment with 851 both the Earth Observing Laboratory and the 852 Research Applications Laboratory, National Center 853

for Atmospheric Research (NCAR), Boulder, CO, USA. His research interests include the interpretation of remote sensing instruments' responses to clouds and precipitation using mathematical models and actual field observations. Dr. Vivekanandan is an Associate Editor of *Radio Science*. 857



Paquita Zuidema is a Professor with the Rosenstiel 858 School of Marine and Atmospheric Science, 859 University of Miami, FL, USA . She was a National 860 Research Council Postdoctoral Fellow with the 861 NOAA Environmental Technology Laboratory, 862 Boulder, CO, USA from 2001 to 2004, an experience 863 that activated an interest in surface-based and in situ 864 microwave radiometry. 865

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