

# ECE 562

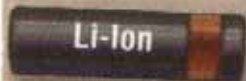
Week 4 Lecture 1

Fall 2008

# Week 4 Lecture 1

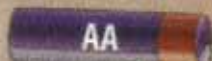
## Summary

- Section notes
  - Slides 3-9 – Types of capacitors
  - Slides 10-16- Capacitor ESR's and construction
  - Slides 17-23 - Electrolytic capacitors
  - Slides 24-33 - Comparison of capacitor types
  - Slides 34-48 - Non-ideal capacitor behaviors
  - Slides 49-55 – Super-capacitors
  - Slides 56-65 – Super-capacitor applications

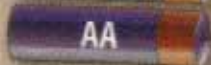


Li-Ion

or

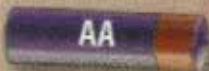


AA



AA

or



AA



**LTC3400**

95% Efficient ThinSOT  
Synchronous Boost  
 $V_{OUT} = 5V @ 300mA$



**LTC3440**

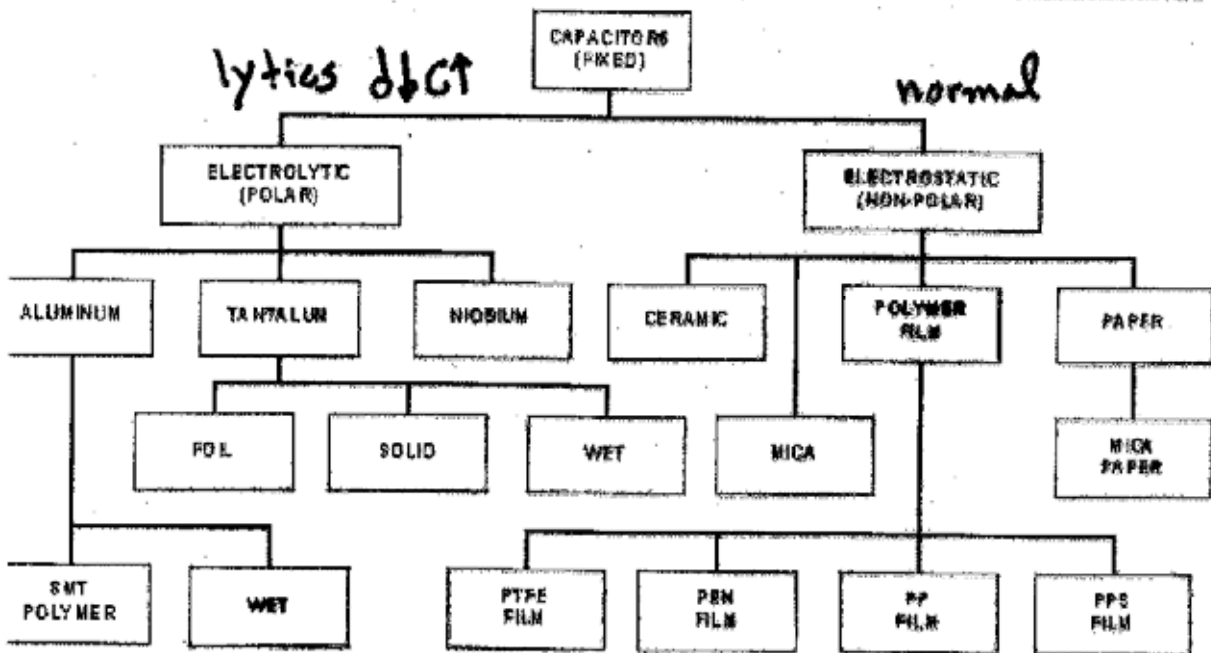
96% Efficient MSOP  
Buck/Boost  
 $V_{OUT} = 3.3V @ 600mA$



**LTC3405**

96% Efficient ThinSOT  
Synchronous Buck  
 $V_{OUT} = 1.8V @ 300mA$

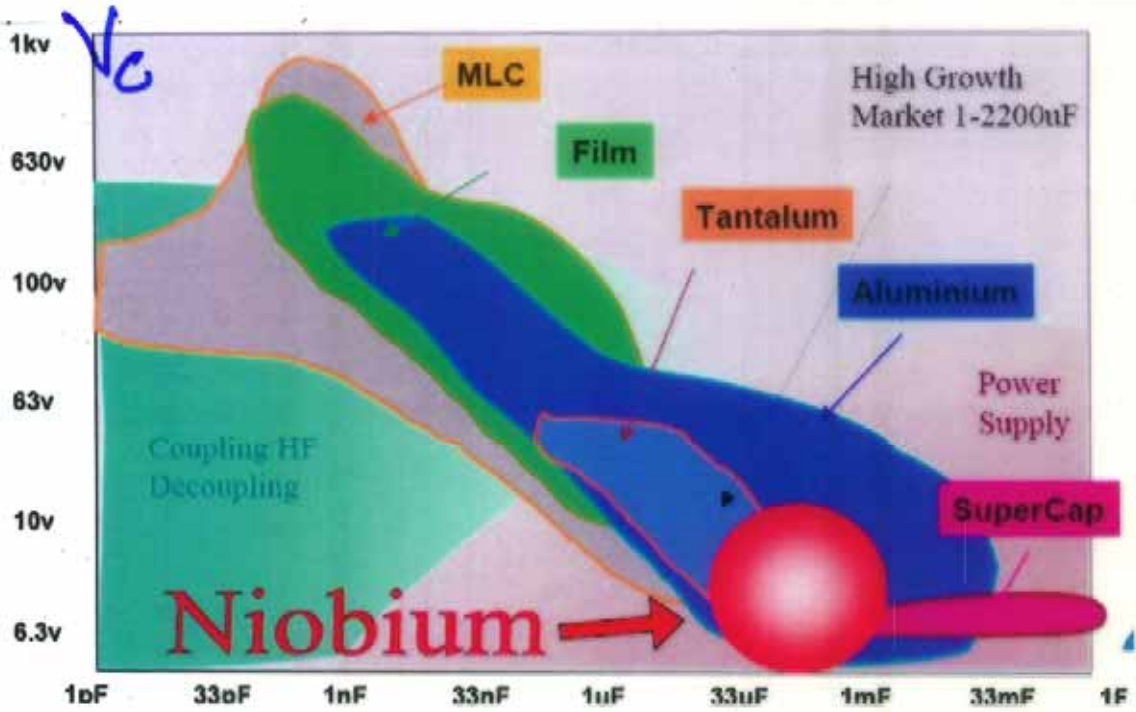
# Capacitor Technologies





*Rule #1*

**The application is specific.  
So is the capacitor.**



$V_c$

Niobium →

C size

More V rails | Lower I<sub>V</sub>, Faster f

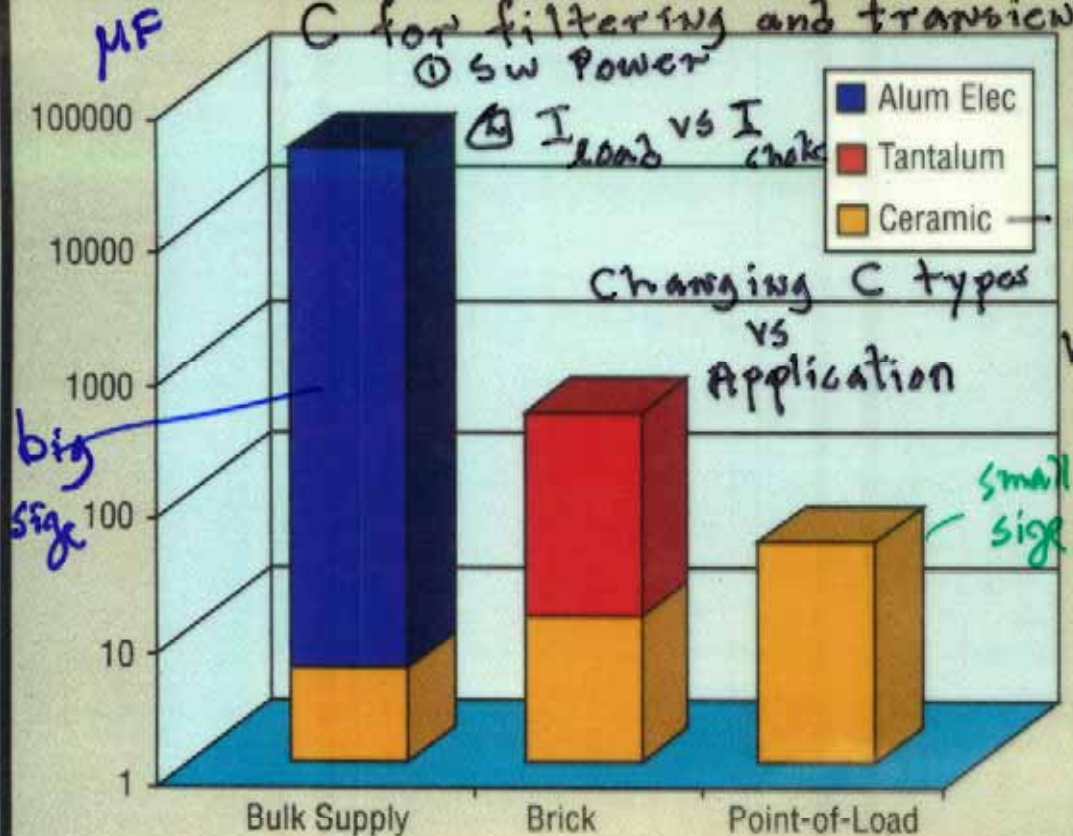
C for filtering and transients

① sw Power

⊗ I<sub>load</sub> vs I<sub>chke</sub>

Changing C types  
vs Application

low  
ESL  
for  
high  
d<sub>u</sub>/  
dt



SMD : xy lm

1206  $\Rightarrow$  120 mil \* 60 mil

metric 1220 1.2 mm \* 2 mm

### Capacitor size codes



The capacitor sizes are specified either in the EIA surface mount device (SMD) specifications, such as 0201, 3216 or the EIA capacitor size specifications such as Size A, B, C etc (typically used for Tantalum capacitors). The SMD specifications is a set of 2 numbers, each 2 digits and each specifying size in mils (one thousandths of an inch). So, a 1206 component would be 120 mils by 60 mils. Note that there is a metric version (EIAJ or JIS) of this spec where 1220 would mean 1.2 mm by 2.0 mm. Beware!



- ◆ The EIA capacitor codes (e.g A,B,C etc) are outlined in the below table.(source: Kemet). The numeric dimensions are in mm and the number after the "-" signifies the maximum height.

| Case Code |         |
|-----------|---------|
| KEMET     | EIA     |
| R         | 2012-12 |
| S         | 3216-12 |
| T         | 3528-12 |
| U         | 6032-15 |
| V         | 7343-20 |
| A         | 3216-18 |
| B         | 3528-21 |
| C         | 6032-28 |
| D         | 7343-31 |
| X         | 7343-43 |
| E         | 7260-38 |

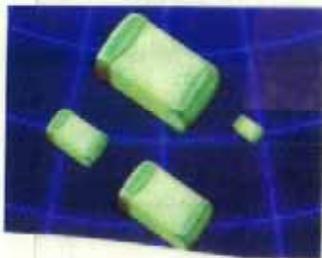
*Tall*

### Low ESR Chip Capacitors

The S-Series line of low ESR, high Q, high frequency multilayer ceramic capacitors is made with a proprietary combination of low loss dielectric and a highly conductive electrode system. This process lowers their equivalent series resistance. They are offered in 0201, 0402, 0603, and 0805 sizes, and in capacitance values from 0.2 pF to 220 pF.

*Johanson Technology Inc.,  
Camarillo, Calif.*

Circle 315



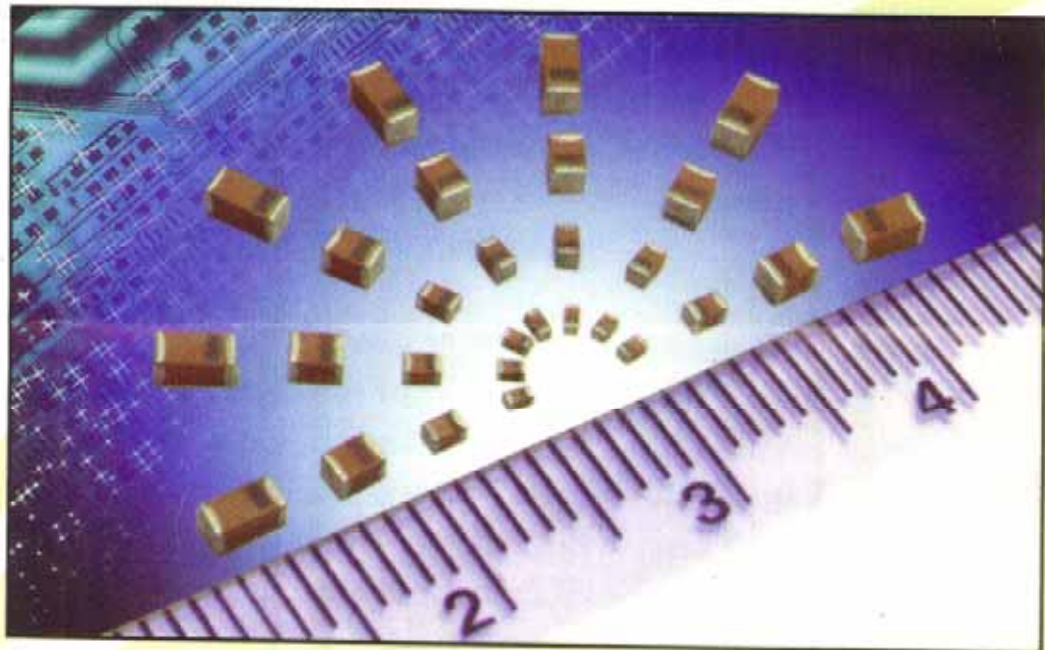
### Tantalum Chip Capacitor

The organic polymer KO-CAP (T520B) offers high capacitance in a smaller footprint. Used as a drop-in replacement for a 1210 high-capacitance ceramic, it can save board space as a replacement for C- and D-sized tantalum chips. With reduced ESR (70mΩ), there is enhanced capacitance retention in higher frequencies as compared to a standard MnO<sub>2</sub>. It is offered in the "B" case size in 47μF rated at 6.3V, 68μF at 4V, and 100μF at 3V.

*KEMET Electronics,  
Greenville, S.C.*

Circle 317





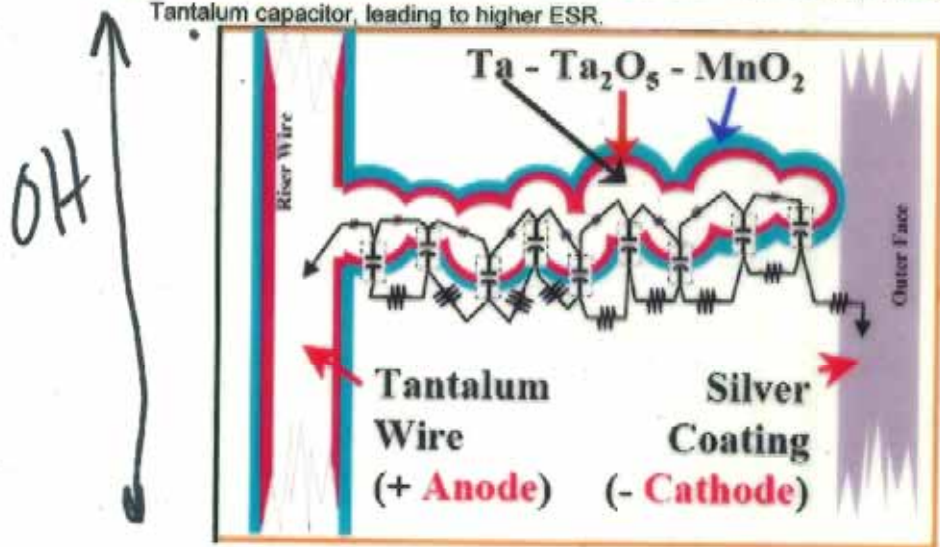
*Fig. 3. A growing trend with passive components is the migration to small case sizes. AVX's TACmicrochip series of tantalum chip capacitors deliver up to 10  $\mu$ F in an 0402 case.*

## ◆ Tantalum

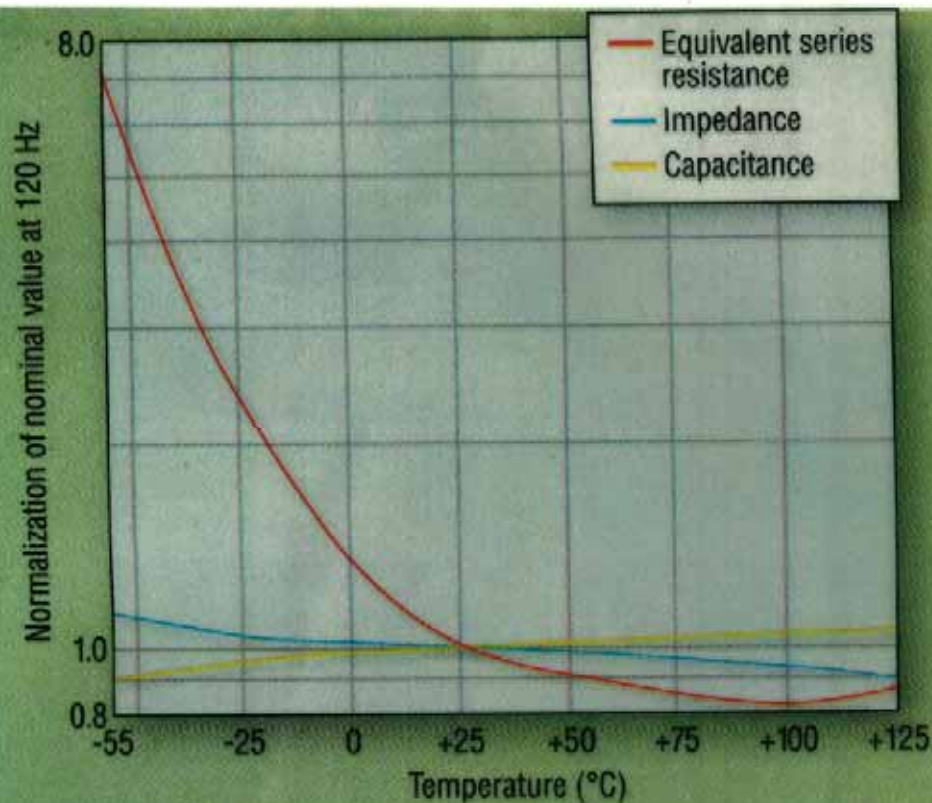
- ▶ Small size vs C
- ▶ High capacitance
- ▶ Low ESR (30-150mohm)
- ▶ Use caution on input
- ▶ Being replaced by niobium

# Electrolytic: C big ESR low $\Phi$ low

- Following picture illustrates the distributed resistance in the construction of a Tantalum capacitor, leading to higher ESR.



- Although the ESL is negligible in most capacitors, some of the same factors that affect ESR, also affect ESL:



**Fig. 6.** The wet-tantalum curves show a dramatic rise in ESR at low temperature. (Data courtesy of NASA.)



Large  $\Delta V$   
Derating due to heating

## Decoupling and the Pitfalls of Tantalum Capacitors

Tantalum capacitors have a capacitance per unit volume almost 3 times better than Aluminum Electrolytics. However:

- ◆ Their max rating is typically less than 50V.
- ◆ Even that rating is "nebulous", since 50% derating of the max voltage rating may need to be applied.
- ◆ Voltage derating is specifically recommended when the capacitor may see a large inrush current --- like the input cap of all topologies, and also the output cap of the boost topology. So for example, a 35V Tantalum cap may then be usable only up to about 18V. (That is roughly a "derating" of 50%).

off



Surface-mount aluminum electrolytic capacitors are emerging as an alternative for tanaluminum chip capacitors. NIC Components' caps (left) aim at PC, telecom, consumer and industrial applications.



# Film vs. Electrolytic Caps

Same ripple current

Converter Components

$C = 42 \times 330 \mu\text{F}$   
 $C_T = 13,860 \mu\text{F}$

Cost: Highest  
 Response: Fastest

Parallel Group of 40  
 std value

many electrolytics  
 RESR/42

$C = 4 \times 330 \mu\text{F}$   
 $C_T = 23,320 \mu\text{F}$

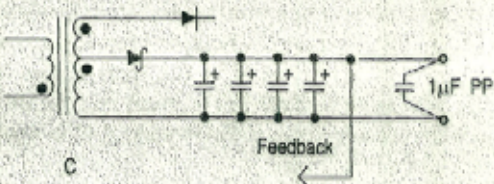
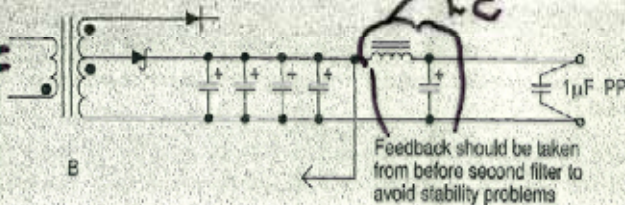
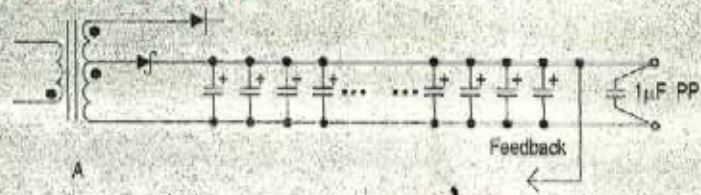
Cost: Intermediate  
 Response: Intermediate (Reduced Load Regulation)

Four "lytics  
 total 23mF

$C = 4 \times 15,000 \mu\text{F}$   
 $C_T = 60,000 \mu\text{F}$

Cost: Least  
 Response: Slowest

60mF



Output filters for equivalent ripple.

# New Organic Semiconductor Electrolytic Capacitors



While they look similar

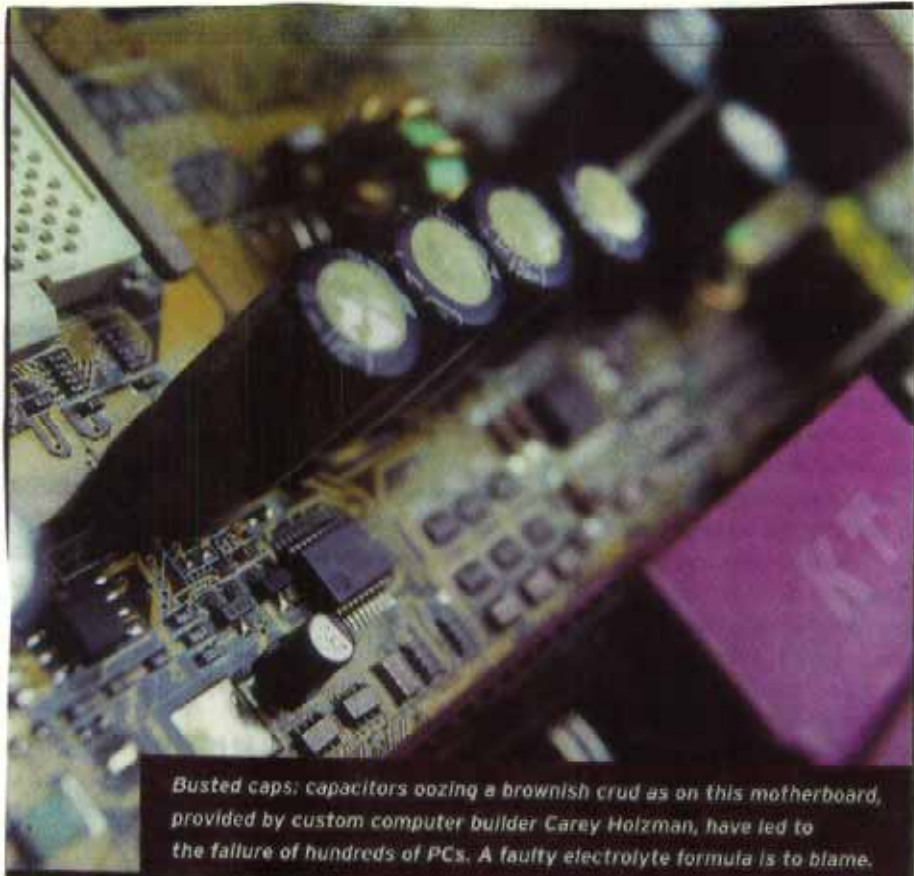
to radial-lead aluminum electrolytics, new

AFD and AFX Organic Semiconductor Aluminum Electrolytics

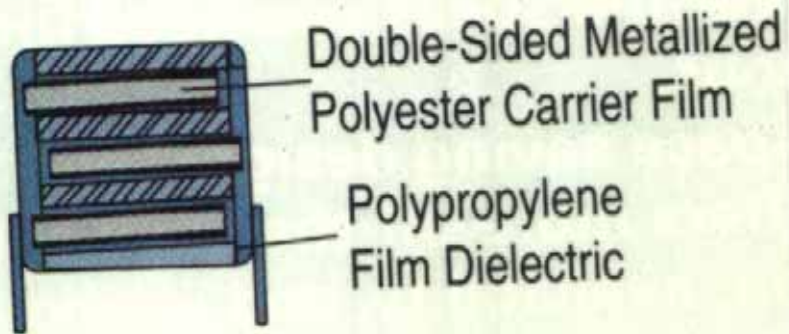
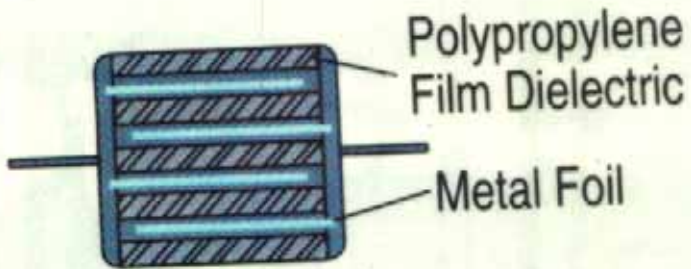
are a better choice for your next compact high-frequency power applications.

- Much Lower ESR than standard types
- Stable performance over the operating temperature range
- Ripple currents up to 10.1A rms
- Capacitance to 3,300 $\mu$ F
- Competitively priced

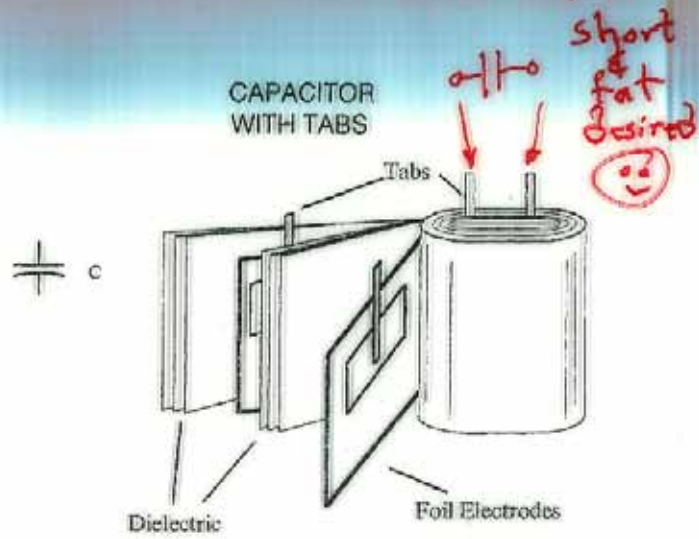
AFD and AFX Series Capacitors differ in capacitance ranges covered. They are in stock, for sampling and immediate delivery. Visit [www.ilicap.com](http://www.ilicap.com) today.



*Busted caps: capacitors oozing a brownish crud as on this motherboard, provided by custom computer builder Carey Holzman, have led to the failure of hundreds of PCs. A faulty electrolyte formula is to blame.*



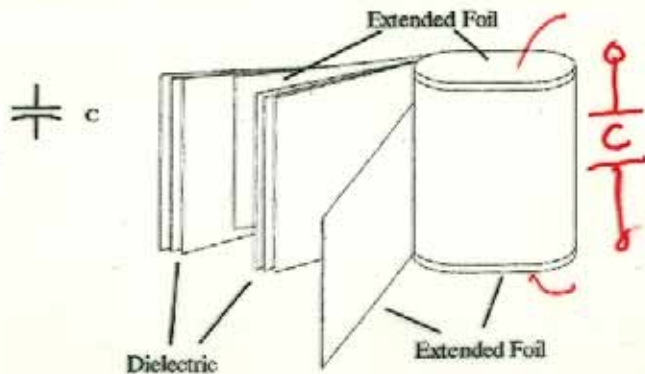
} More tabs  
Lower ESR  
Lower L (parasitic)



Inserted tab capacitors use thin (3.5  $\mu\text{m}$ ) aluminum foil electrodes conduct current through the capacitors and out through tabs to the load.

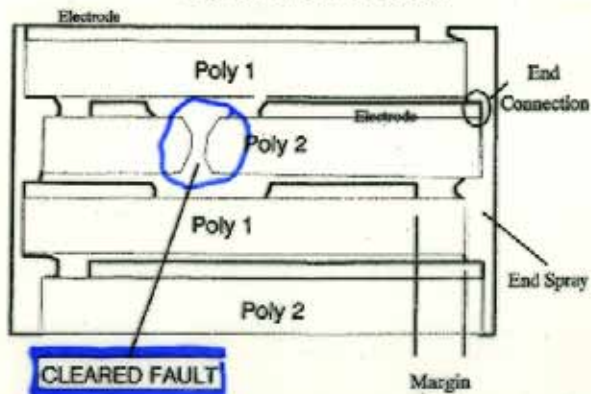


## CAPACITOR WITH EXTENDED FOIL ELECTRODES



Extended foil capacitors use thin ( $5.5 \mu\text{m}$ ) aluminum foil electrodes to conduct current through high-current electrodes that are generally soldered to the extended foils on either end of the capacitor section.

### Metallized Polymer Capacitor Construction



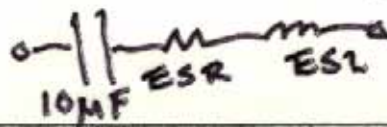
Once the fault illustrated in Fig. 9 has been cleared, the capacitor will continue to function with the only measurable damage being a small loss of capacitance.

## ▶ Specialty Polymer Aluminum

- ▶ Very Low ESR (10-50mohm)
- ▶ High capacitance
- ▶ Small size
- ▶ Limited suppliers



Compare old "Lytics"  
to  
Metal Film Capacitors



| Technology               | ESR at 100kHz (mΩ) | Ripple Current (Arms) | Δ C/C 1 to 100 kHz (%) | Δ C/C at 55°C (%) | Leakage Current (µA) |
|--------------------------|--------------------|-----------------------|------------------------|-------------------|----------------------|
| <i>Superior</i>          |                    |                       |                        |                   |                      |
| Metallized Polypropylene | <10                | >6                    | <1                     | <1                | <1                   |
| Aluminum Electrolytic    | >100               | <0.6                  | >25                    | >30               | >50                  |

| Technology               | ESR(mΩ) |        |       |       |
|--------------------------|---------|--------|-------|-------|
|                          | 40°C    | 20°C   | -25°C | -85°C |
| Metallized Polypropylene | 12.5    | 11     | 10    | 9     |
| Aluminum Electrolytic    | —       | 25,000 | 3,000 | 250   |

Performance of metallized polypropylene capacitors vs. aluminum electrolytics.  
(Capacitance is 10µF, 450VDC.)

Lytics "dry up" over t-τ

Lytics are not bipolar  
or stand reverse voltage

Metal/Film are self-healing  
on many failures

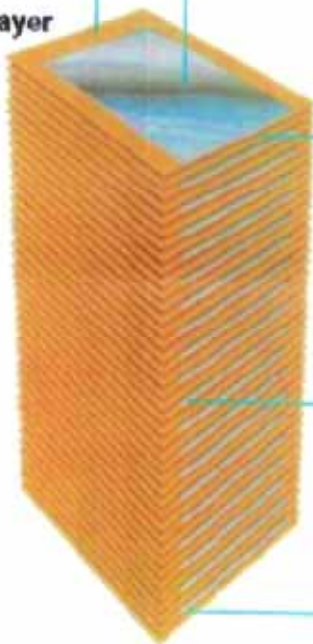
reference

Low  
ESR

| Parameter                    | Electrolytic                    | Metallised Polypropylene      |
|------------------------------|---------------------------------|-------------------------------|
| Capacitance value (original) | 32.4mF                          |                               |
| Capacitance value (final)    | 5.4mF                           |                               |
| Configuration                | 48 caps, 24 in // x 2 in series | 3 units in parallel           |
| Nominal dc voltage           | 480V x 2 (800V)                 | 1000V                         |
| r.m.s. current               | 1000A at 2kHz                   | 900A at 2kHz                  |
| Max. thermal rating          |                                 | 456A (x3) at 50°C             |
| Max. operating temperature   | 50°C                            | 50°C                          |
| Overall volume               | 86.4 litres                     | 28.5 litres <b>*3 smaller</b> |
| Inductance                   |                                 | ~20nH for 3 units in parallel |
| ESR (total)                  | 2.1mΩ                           | 0.1mΩ <b>*20 smaller</b>      |
| Surge voltage                | 1.1 x Un                        | 2 x Un                        |
| Price comparison (caps only) |                                 | 95% of electrolytic solution  |

Ceramic Layer

Electrode



Terminated Edge

Terminated Edge



End Termin.

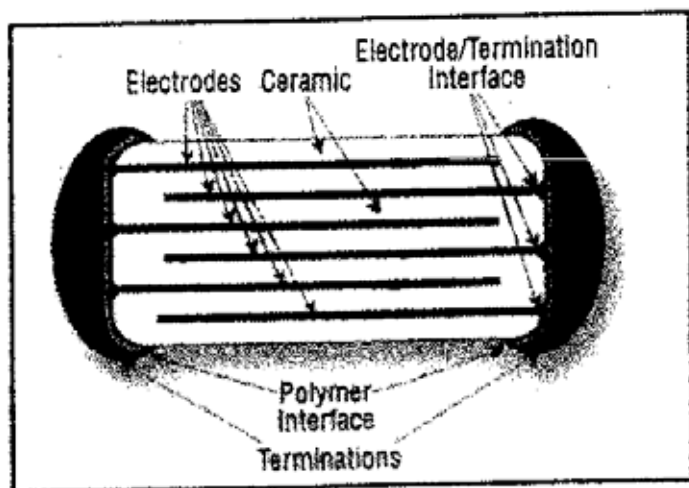


Margin

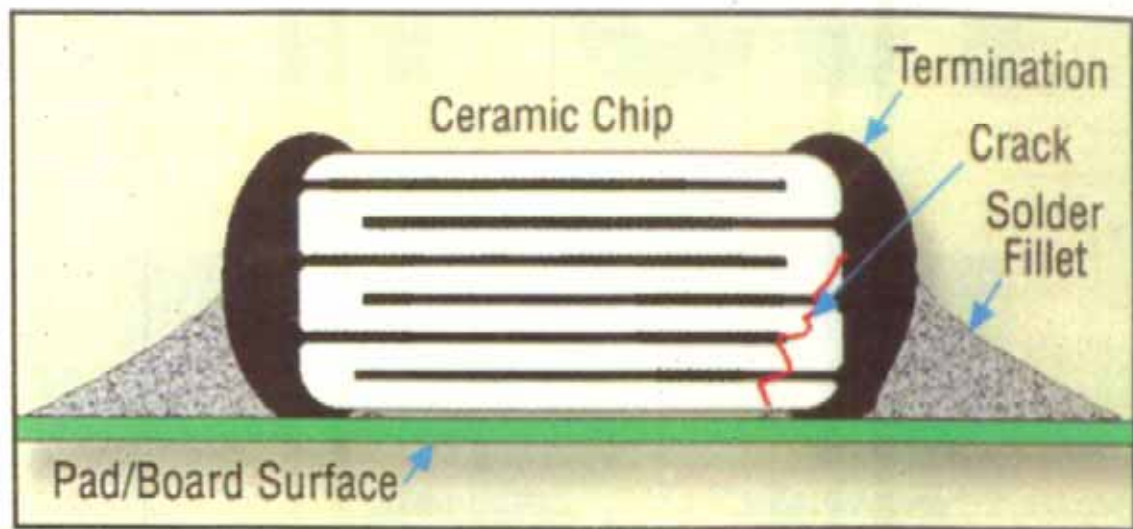
EI

Multilayer Ceramic Capacitor

Figure 1



**Fig. 3.** *The addition of a conductive polymer termination layer improves the mechanical flexibility of a ceramic capacitor.*



**Fig. 1.** *A multilayer ceramic capacitor exhibits cracking.*

## ◆ Ceramic

- ▶ X5R or X7R best temperature coefficient
- ▶ Lowest ESR (1-10mohm)
- ▶ Can generate audible noise in some applications
- ▶ DC Bias reduces capacitance
- ▶ Small size Low cost



# MIC49XXX

1.4V to 6.5V



0.9V to 5V @ 1.5A/3A



FULL LOAD

NO LOAD

PART 5  
PC  
ULTRA FAST  
TRANSIENT  
RESPONSE

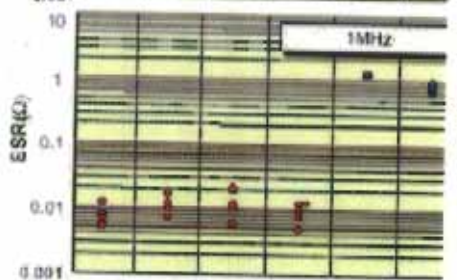
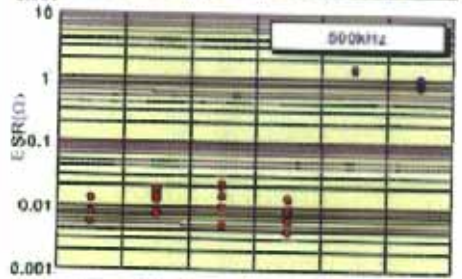
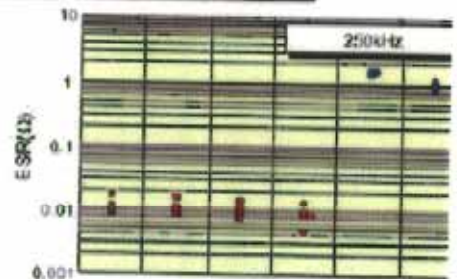
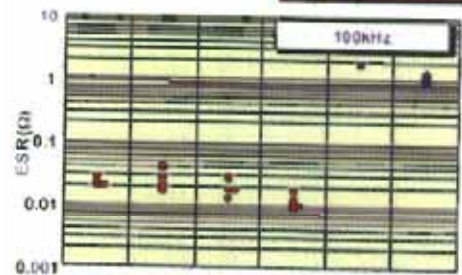
5  $\mu$ s



Ceramic  
 $R_{ESR} \ll \frac{R_{Tantulum}}{100}$   
 Page 3 of 4

**ESR**

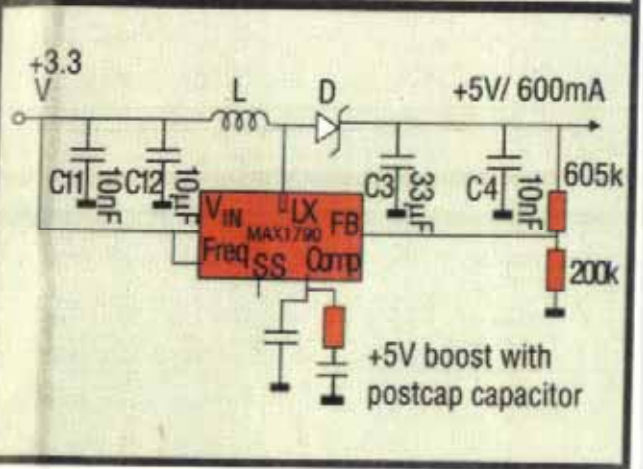
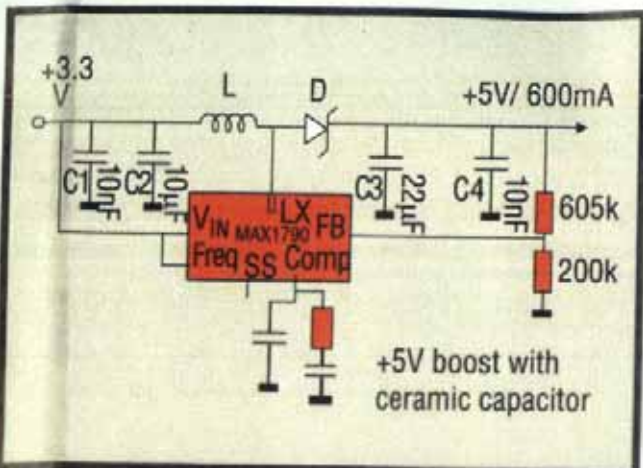
The ESR of ceramic chip capacitor is approximately 1/100 of Ta cap.



C3216X7R C3216X9R C3216X7R C3216X9R Ta, 4.7uF Ta, 10uF  
 10105A 1C105K 1C225K 1A335K 100uA (max) 8.2(A)(max)

C3216X7R C3216X9R C3216X7R C3216X9R Ta, 4.7uF Ta,  
 10105K 1C105K 1C225K 1A335K 100uA (max) 8.2(A)





# "Mechanical" Properties

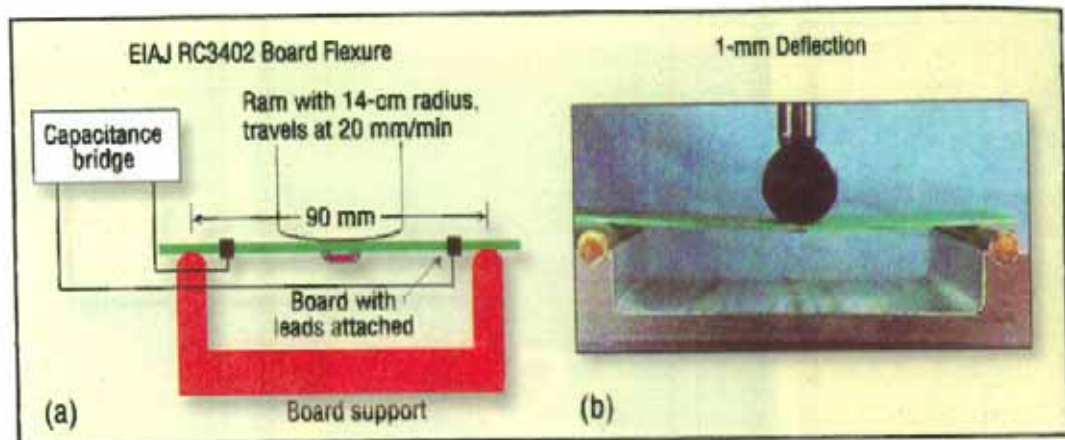
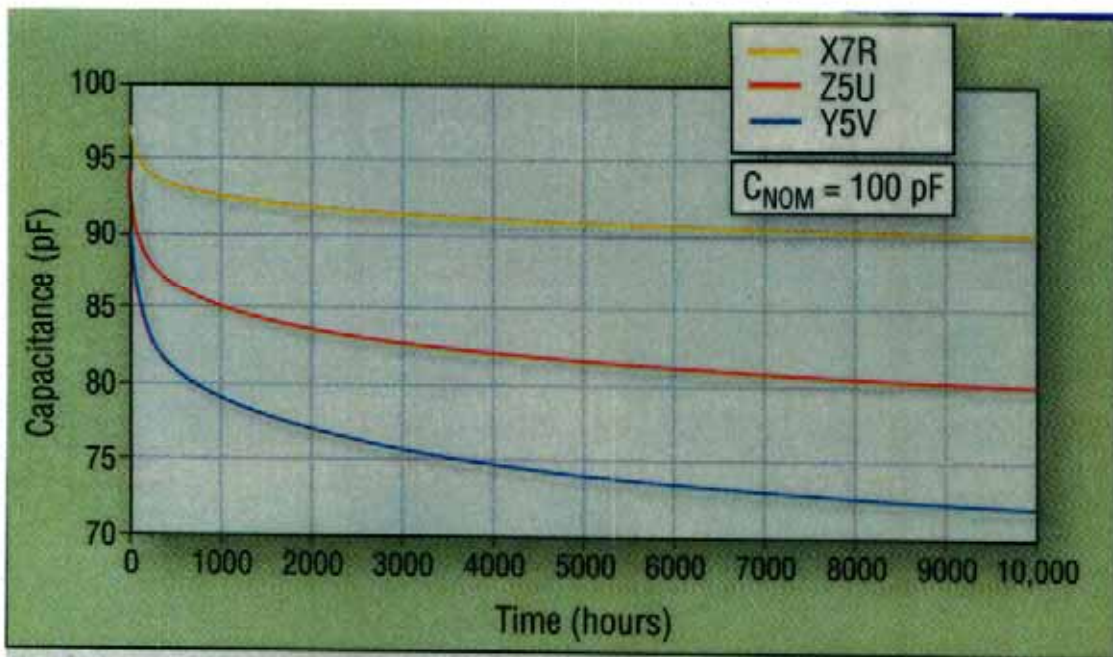


Fig. 4. EIAJ RC3402 specifies the above setup (a) to measure a capacitor's ability to withstand flexing. If deflection produces a capacitance shift, the capacitor fails. A 1-mm deflection is used as an acceptance level for no failures (b).

$$C(t) \quad C \downarrow t \uparrow$$



**Fig. 7.** Aging for ceramic capacitors varies according to the type of dielectric used.

| Dielectric/<br>capacitor type | Typical dielectric<br>constant | Typical aging<br>rate (%)                  |
|-------------------------------|--------------------------------|--|
| Ceramic NPO                   | 65                             | None                                       |
| Ceramic X7R                   | 2000                           | 1.5 to 2.5                                 |
| Ceramic BX                    | 4000                           | 3 to 4                                     |
| Ceramic Z5U                   | 8000                           | 4 to 5                                     |
| Ceramic Y5V                   | 10,000                         | 6 to 7                                     |
| Ceramic (BP)<br>capacitors    | Higher $\epsilon$              | $\pm 21^*$ (-2.5 to -4 per<br>decade hour) |
| Ceramic (BP)<br>capacitors    | $\epsilon(t)$ —                | 1.25 to 2.1                                |
| Tantalum (CSR)<br>capacitors  | —                              | $\pm 10$                                   |

**Table.** Aging rates of various dielectric types. \*MIL-HDBK-1547A is considered overly pessimistic.

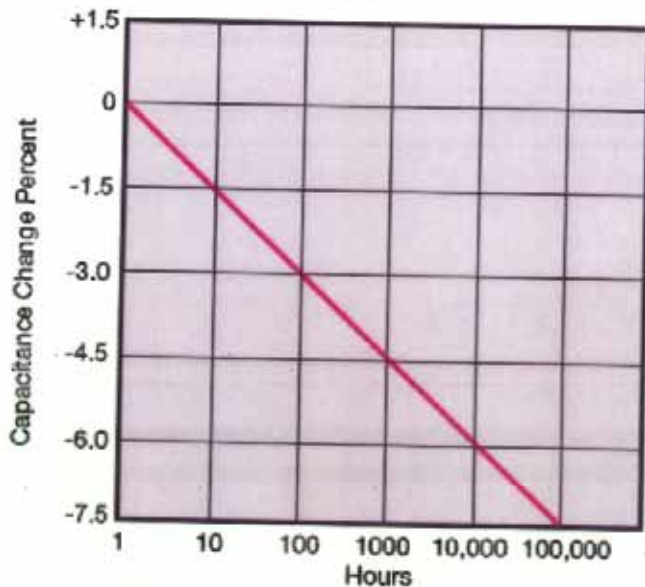
(1 - A )

$$C(t)$$

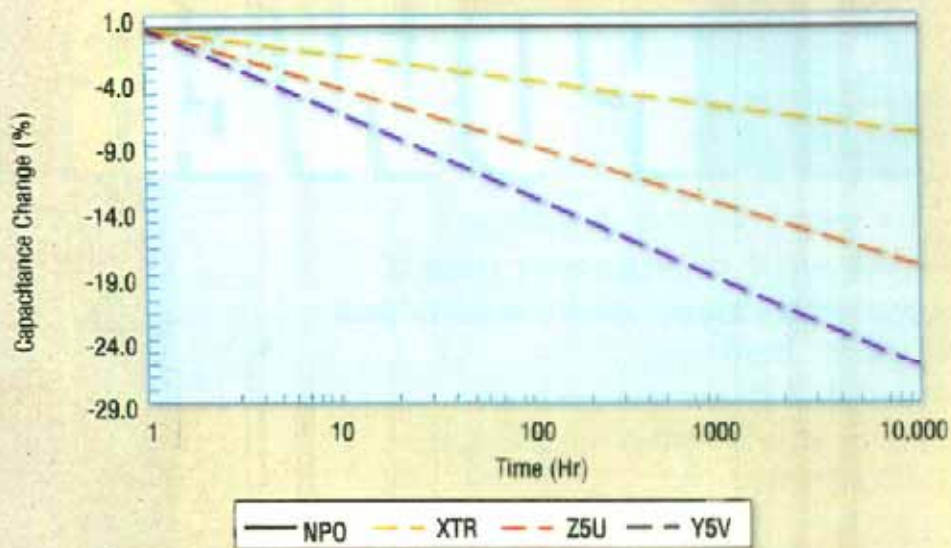
able to use in spite of this voltage dependency.

### Typical Curve of Aging Rate

X7R



| Characteristic | Max. Aging Rate %/Decade |
|----------------|--------------------------|
| COG (NP0)      | None                     |
| X7R, X5R       | 2                        |
| Y5V            | 7                        |



**Fig. 6.** The choice of dielectric material influences the loss of capacitance over time in ceramic capacitors.



## Capacitance vs Temperature

C (T)



07

Dielectrics vary in their response to the temperature and voltage. EIA created classifications of material called Class I and Class II and further nomenclature for the amount of variation in the Class II dielectrics. Due to the inherent ESR, the capacitor self heats during operation raising its temperature. The capacitance value chosen to be used in the application must include this potential change over the temperature.

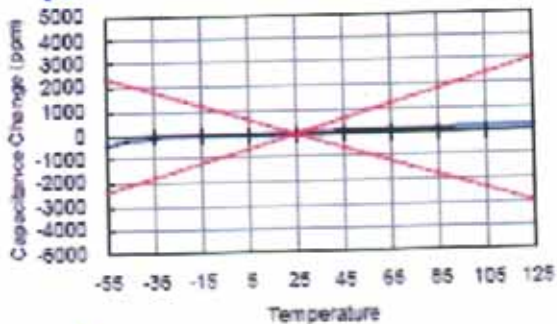
- ◆ The variation in the Class I materials is specified in ppm (parts per million). It is typically less than 3000ppm (< 0.3%), so it will be ignored in this discussion. EIA standard EIA-198-D specifies a 3digit code to name class I and class II materials. See the netlink for the details of class I naming. One particular noteworthy class I type is C0G (C stands for 0, 0 often confused as letter O is multiplier of 0 and G stands for +/- 30ppm). This type is also called NPO (negative positive zero), meaning zero change for either positive or negative change in temperature). EIA standard The table below lists codes used for Class II materials, more commonly seen.

$C(T)$

Negative  
Positive  
Zero

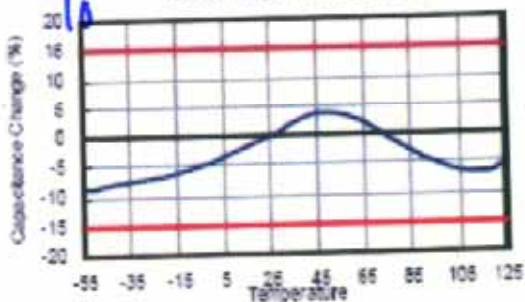
ppm

NPO of Temperature Coefficient

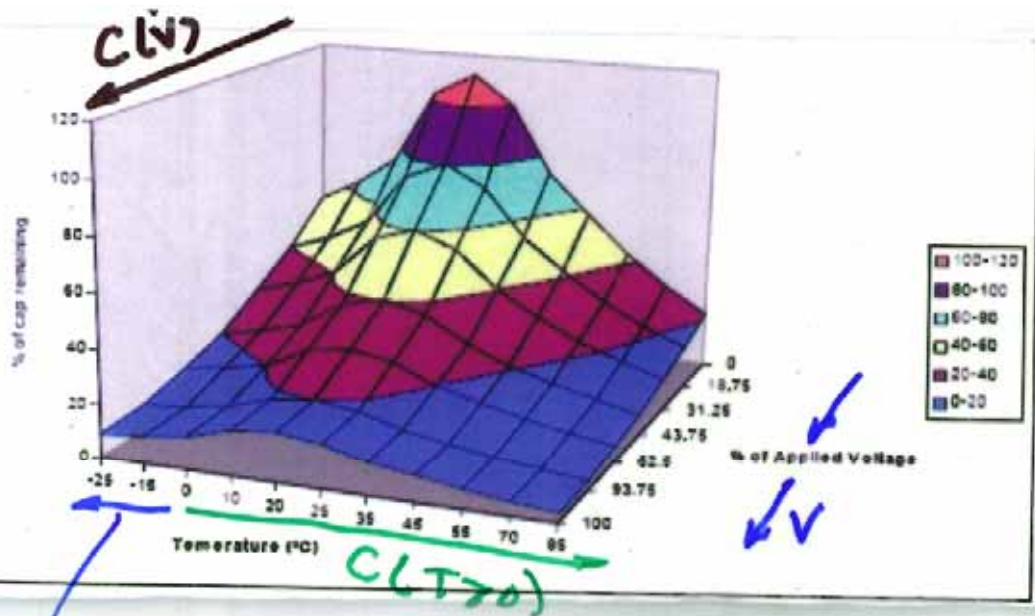


9

X7R of Temperature Coefficient







Just for starters  
 $C(V, T, f, \text{time, material choices})$

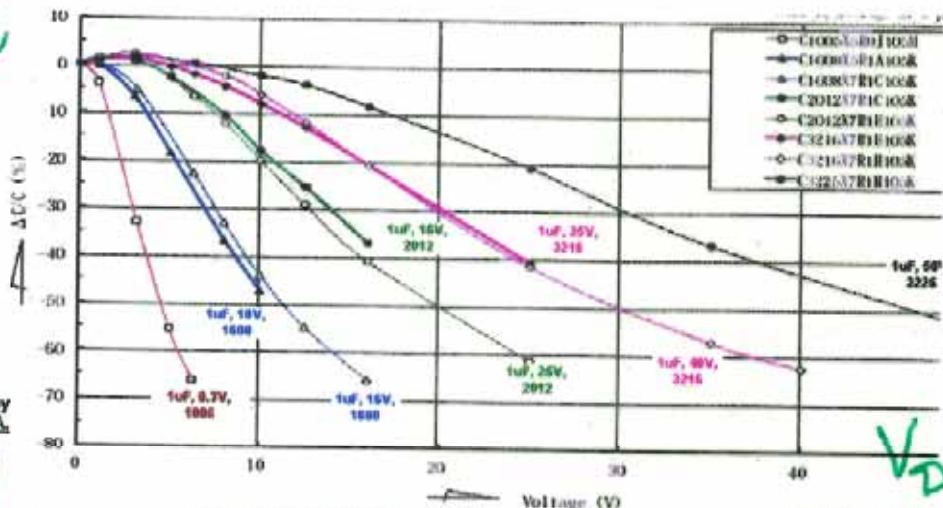
## Capacitance vs Voltage



Class II dielectric materials also change their capacitance value over time and with applied DC voltage, AC voltage. The effect over time is called aging and tends to lower the capacitance. The application of DC voltage tends to lower the capacitance, whereas the application of AC voltage (within a small signal range) tends to increase the capacitance.

$C(DC)^V$  not same as  $C(AC)^V$

$\% \Delta C$



Case Size Key  
Metric / U.S.A.

1005 / 0402

1608 / 0603

2012 / 0805

3216 / 1206

3225 / 1210

Capacitor Data  
Provided By:



All curves are from 1  
quality ceramic capa-  
with good XSR or X  
temperature coeffici

$\% \Delta C(V)$  plots  
for Ceramic Caps

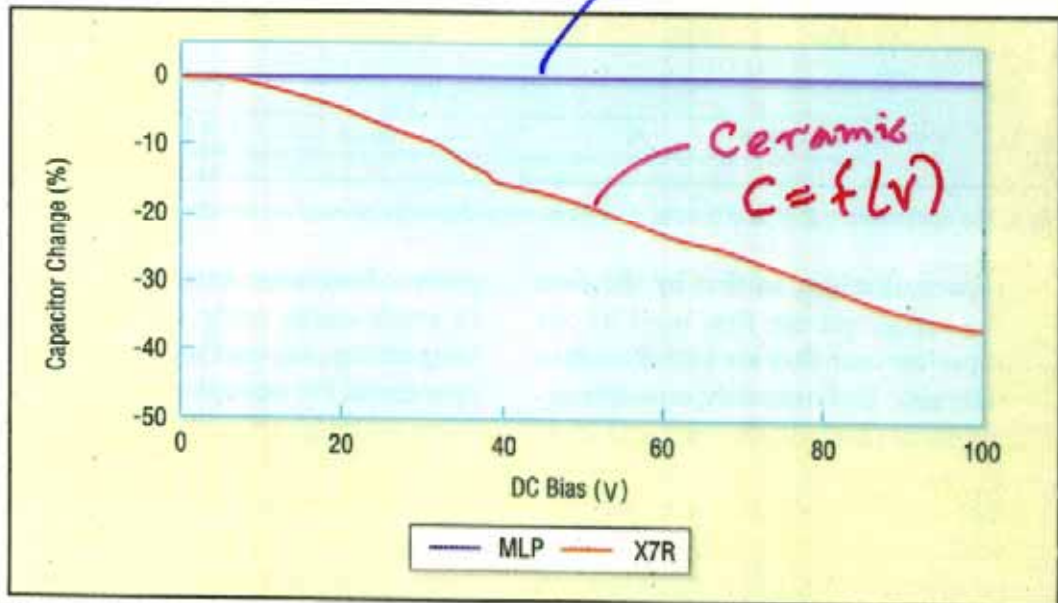
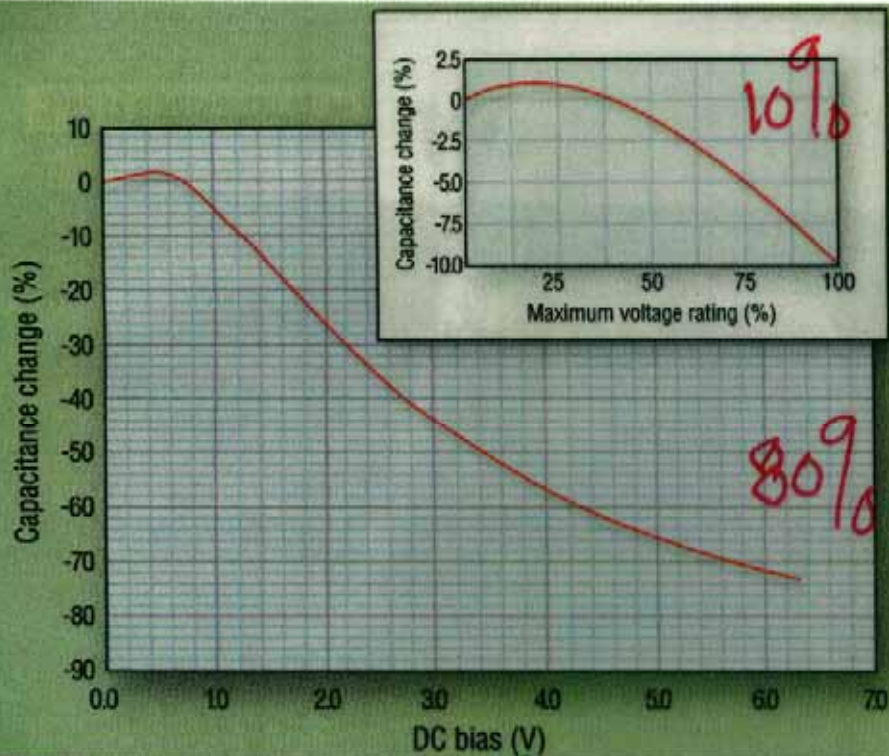


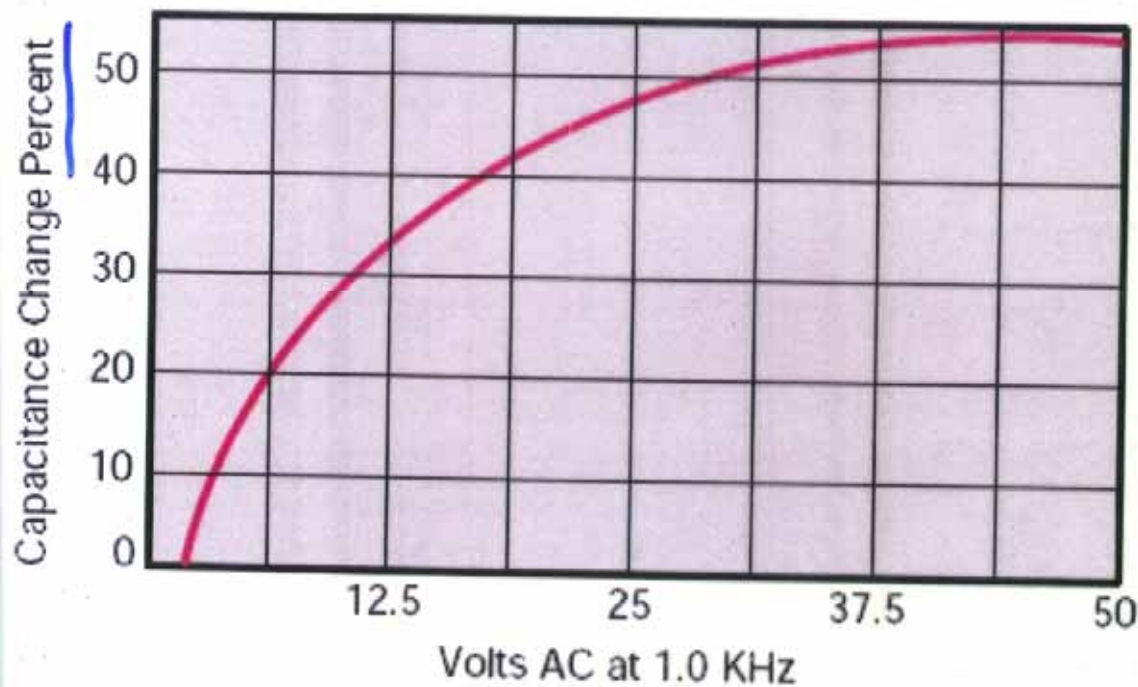
Fig. 7. A multilayer ceramic capacitor loses capacitance as the dc bias voltage increases, while a multilayer polymer capacitor maintains a constant value.



C vs  $V_{DC}$

**Fig. 2.** DC-bias effects for the X7R and X5R paint a dramatically different picture. At 28% of rated voltage, the capacitance is down almost 25% for the X5R.

# Cap. Change vs. A.C. Volts X7R





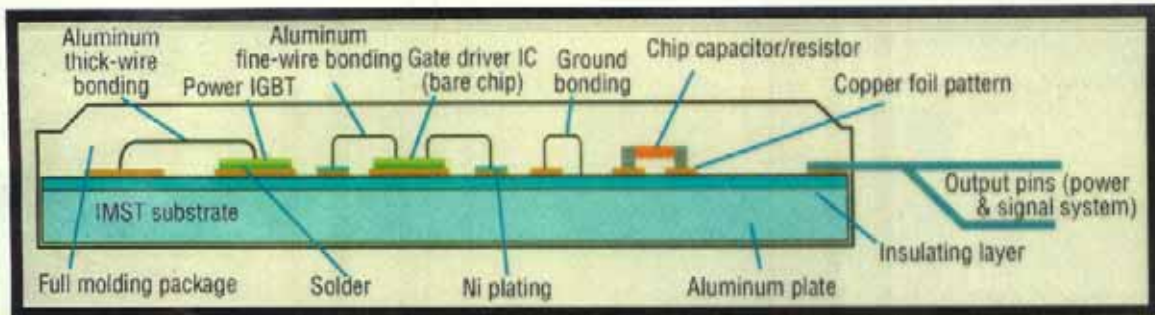


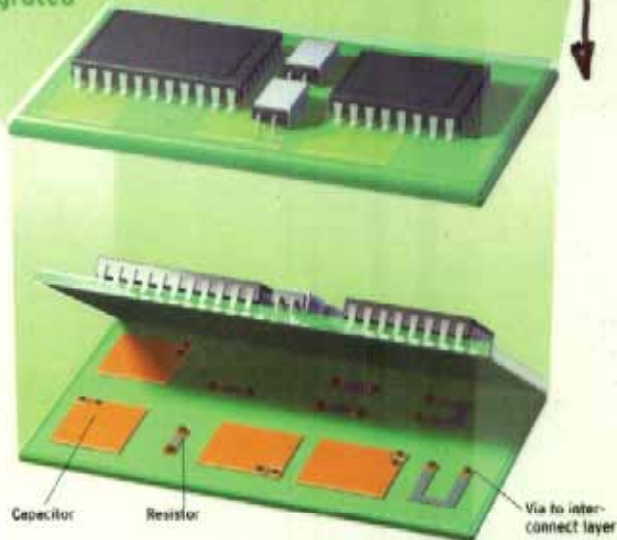
Fig. 5. Cross-section of the PlugNDrive™ insulated metal substrate technology (IMST).



## Ordinary printed-circuit board



## Printed-circuit board with integrated passives



### ● Size Matters

Integrating passives can drastically reduce the size of an ordinary circuit board [top]. Here, four capacitors and six resistors have been removed from the surface and put into an extra layer of circuit board [bottom]. Resistors are copper connection points bridged by a resistive film, and capacitors are conductive plates separated by a thin film of dielectric material.

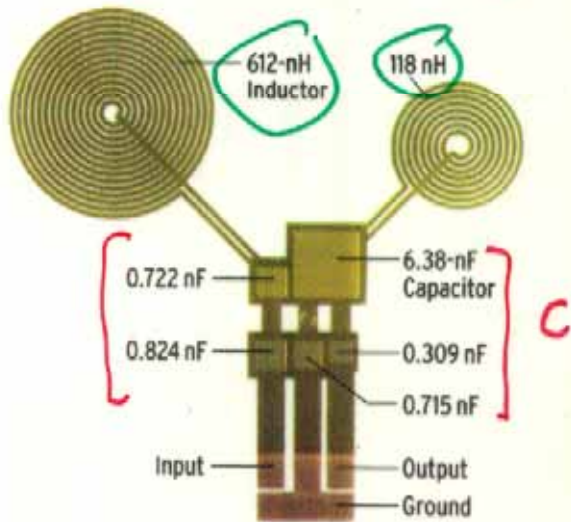
After the board is laminated, holes are drilled and plated to form vias that connect the integrated components to other board wiring. Not every value of passive can be replaced by an integrated one; two remain on the surface. Some commercial processes would require separate capacitor and resistor layers.

## ● A Very Flat Filter

Inductors are angled away from each other to avoid crosstalk in this low-pass filter that fits between the layers of a circuit board.

Designed by one of the authors, and built by Integral Wave Technologies (Fayetteville, Ark.) for NASA's Langley Research Center, the thickest part of this filter is less than  $6\ \mu\text{m}$ .

Capacitors are made from a thin-film oxide, inductors from copper.



C huge Farads small  
Kilo-F



**PLUSES AND MINUSES:** An ultracapacitor depends on highly porous carbon. The carbon becomes electrically charged when connected to a battery: pink here means a positive and light blue indicates a negative charge. The carbon then attracts oppositely charged ions from the electrolyte solution. The ions move through the paper separator if necessary to get to the appropriately charged carbon, and they insinuate themselves into the porous material's many nooks and crannies. The arrangement provides the two features needed for high capacitance: electrodes with huge surface areas, and charges separated by very small distances.

# Super Capacitor Theory

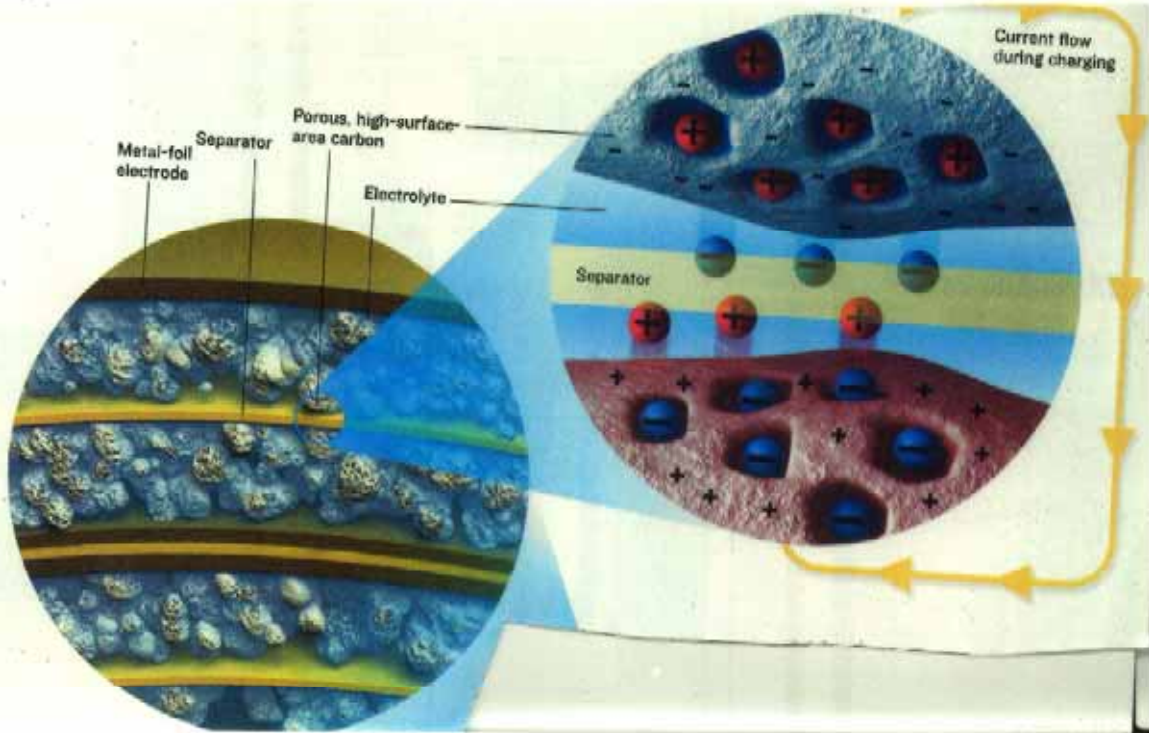
KF values

- Instead of ratings such as micro-farad, milli-farad, or pico farad, rated as kili-farad
- Large Capacitance in small package.
  - 5000 Farad, 27 Volts, in Coke bottle
- Efficiency
  - No chemical energy storage
  - No die off like batteries
  - Increased Efficiency, resulting in longer life span

50 soccer fields in  $\text{cm}^2$

$$C = \frac{\epsilon A}{d}$$

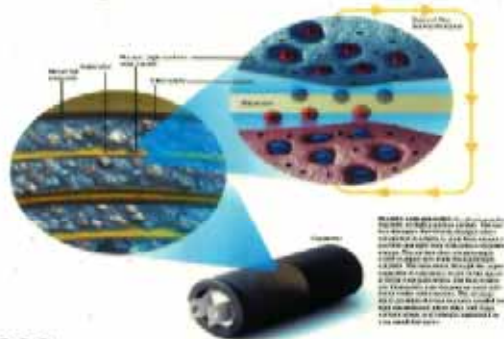
A ↑ porous media  
d ↓ nm scale





# Super Capacitor Theory

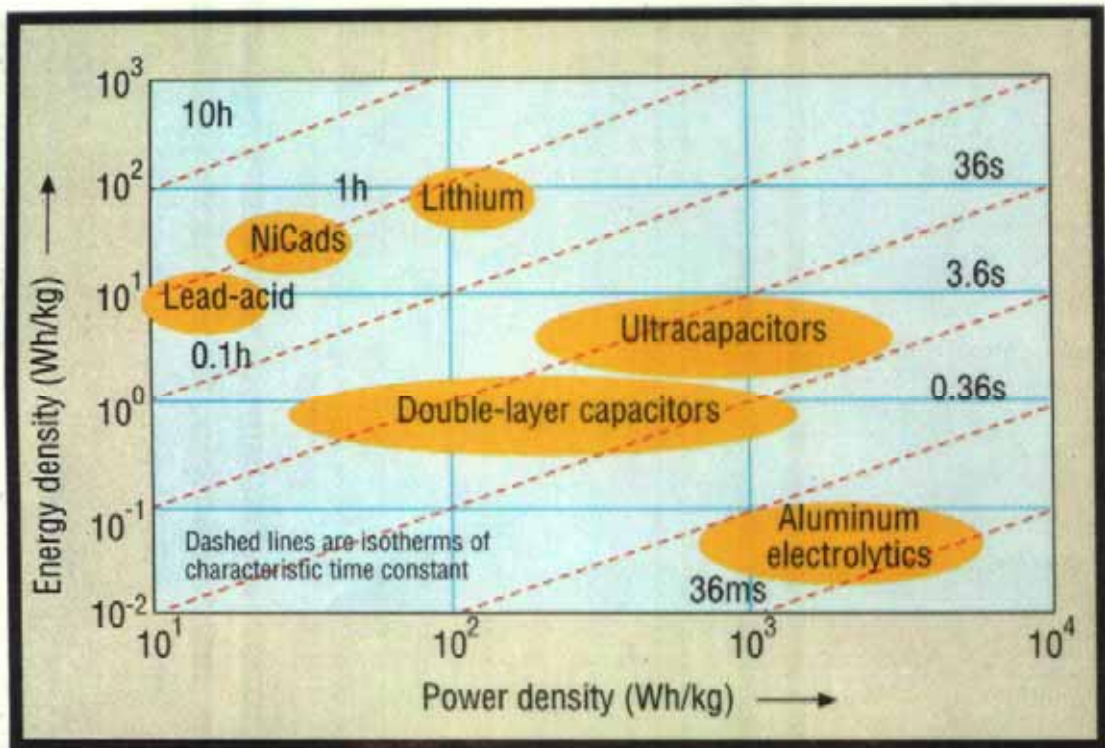
- Key Ingredient
  - Activated Carbon
  - Large surface area
- Activated carbon is porous
- Positive and Negative ions
  - Allowed to get extremely close
- Surface area of around 1500 square meters per gram
  - A typical electrode weighing 250 grams, the total area would be 375,000 square meters-or roughly 50 soccer fields



$$C = \frac{\epsilon}{\delta} * A \text{ (big)}$$

*(Note:  $\delta$  is labeled as 'small' in the handwritten text)*

SOURCES, BECAUSE OF HIGH PRICE AND MANUFACTURABILITY IS-



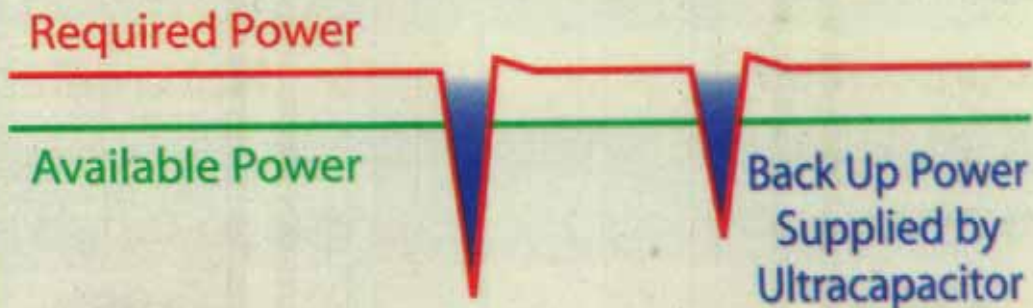
**Fig. 1.** Power vs. energy characteristics of energy-storage devices.



## Peak Power Shaving



## Back Up Power Support





*In its V28 line of power tools, Milwaukee Electric Tool is replacing 18-V NiCd battery packs with slightly lighter 28-V Li-ion packs that deliver higher power and up to twice the run time of the NiCd packs.*

# Batteries in parallel with Super

## Super-capacitor Applications

### ■ Super-capacitors vs. Batteries

- Batteries absorb ~60%
- Super-capacitors absorb ~95%
- $I^2R$  losses
- Super-capacitors have a longer lifetime
- Operate over a larger voltage range
- Much larger Power Density (W/kg)
- Limited Energy Density (W\*h/kg)

- Used together battery life increases by about 250%

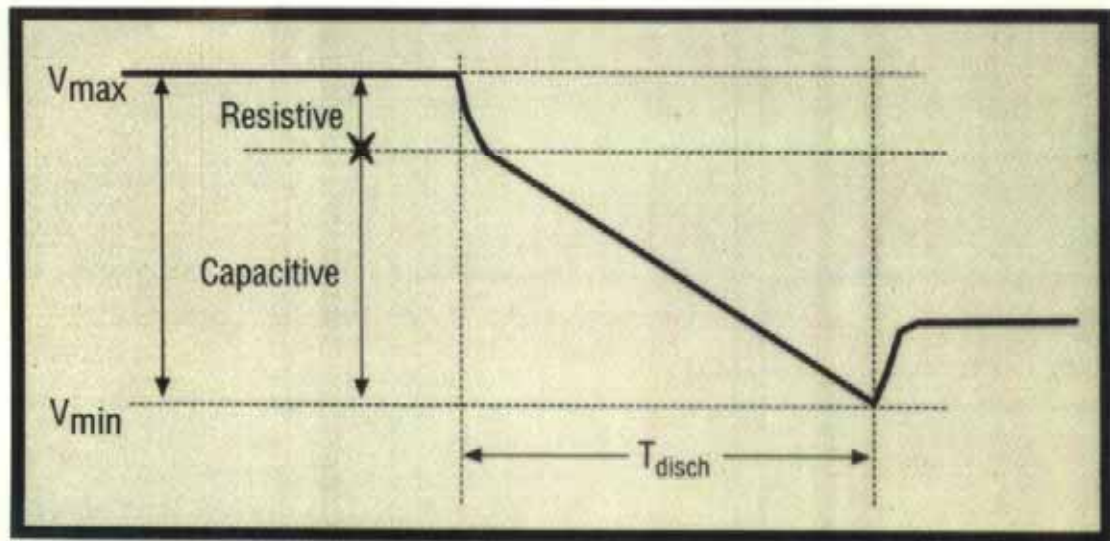
Portable Power Tools  
recharge Sup C: 1hr  
Batt: 10hr  
10% faster  
(vs)

# ENERGY CONTENT

| TECHNOLOGY                          | ENERGY DENSITY<br>(MILLIWATT-HOURS/MILLIGRAM) |
|-------------------------------------|---|
| Lithium-ion in a chemical battery   | 0.3   |
| Methanol in a fuel cell*            | 3   |
| Tritium in a nuclear battery**      | 850   |
| Polonium-210 in a nuclear battery** | 57 000  |

\*Assuming 50 percent efficiency

\*\*Assuming 8 percent efficiency and 4 years of operation



**Fig. 5.** Discharge profile of an ultracapacitor.

rating. Because sustained overvoltage can cause an



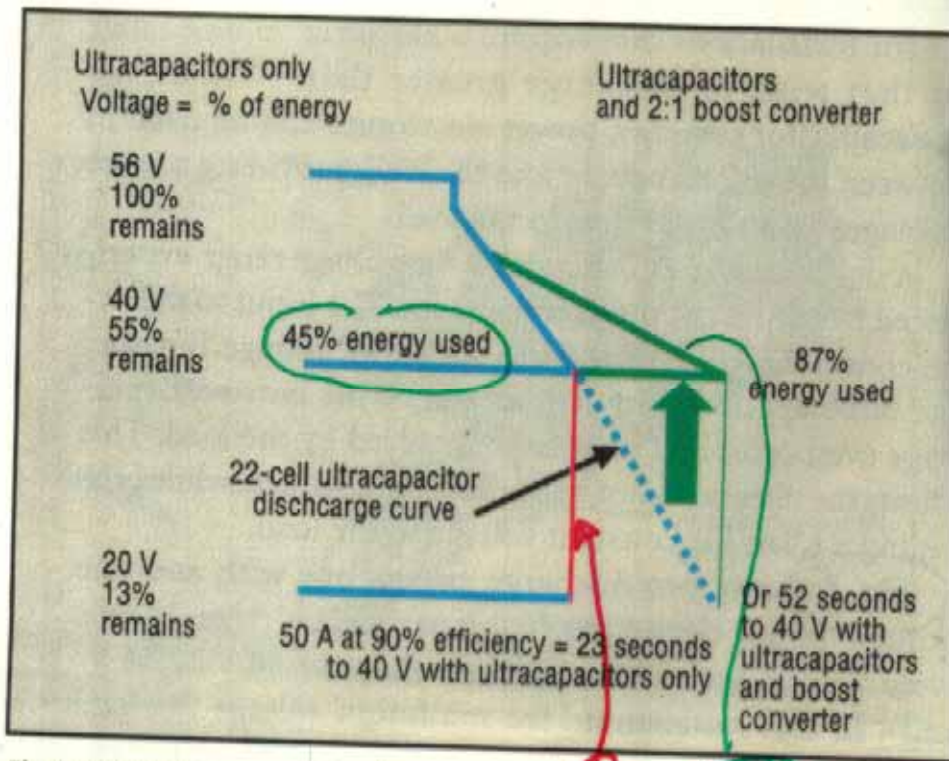


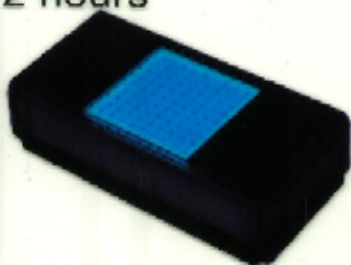
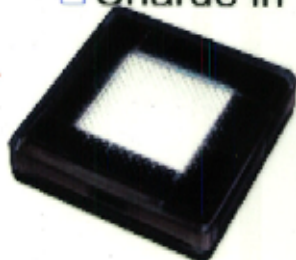
Fig. 4. Discharge curves for ultracapacitors with and without power electronics.

line) the actual output is limited to

# Super-capacitor Applications

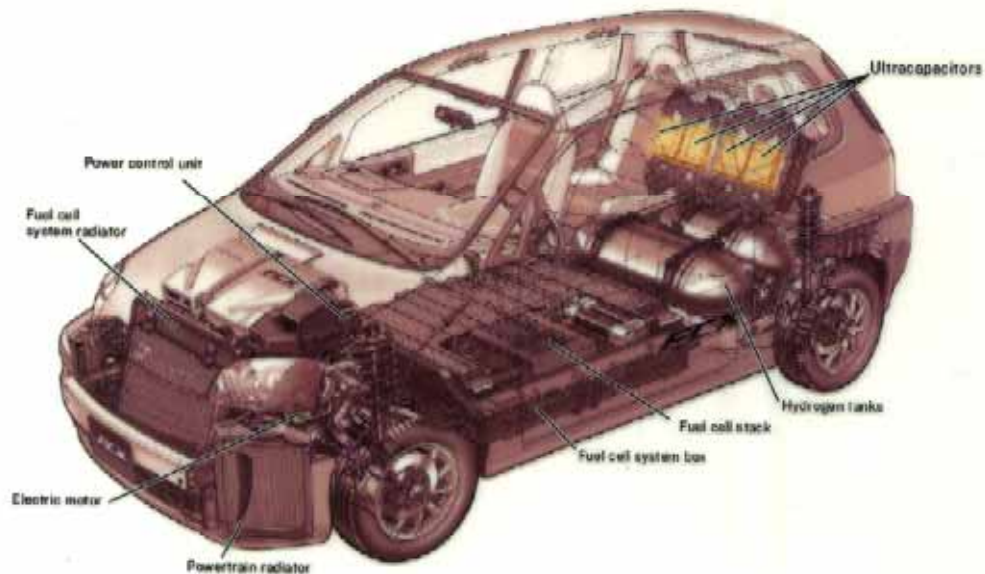
## ■ Solar Tiles

- Batteries last ~1-2 years
- Super-capacitors over 10 years
- Charge in 1 hour stay lit for 12 hours



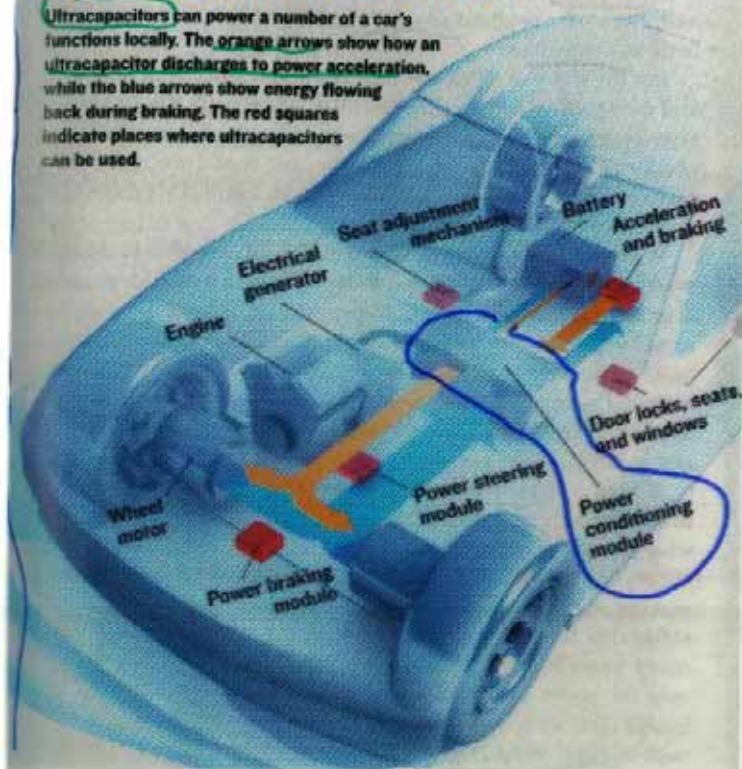


# Super-capacitor Applications



## HOW TO ULTRACAP A CAR

Ultracapacitors can power a number of a car's functions locally. The orange arrows show how an ultracapacitor discharges to power acceleration, while the blue arrows show energy flowing back during braking. The red squares indicate places where ultracapacitors can be used.



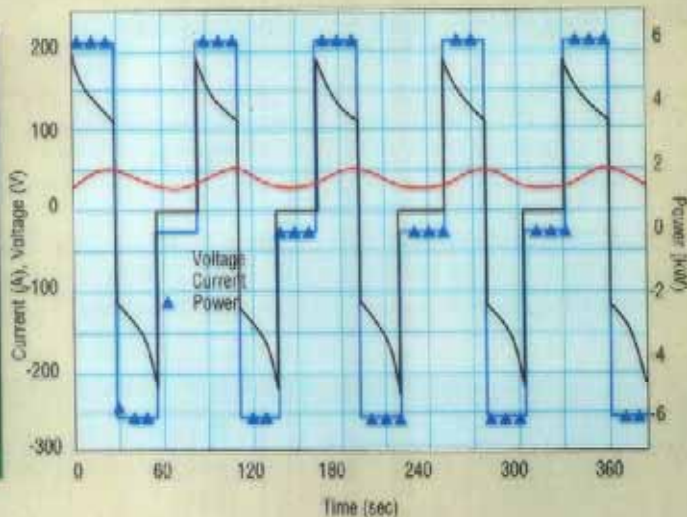


Fig. 6. A 54-V/175-F NESSCAP ultracapacitor bank module and 6-kW cycling data for 42-V vehicle application.

1 kW - 500 SEC

10 kW - 70 SEC

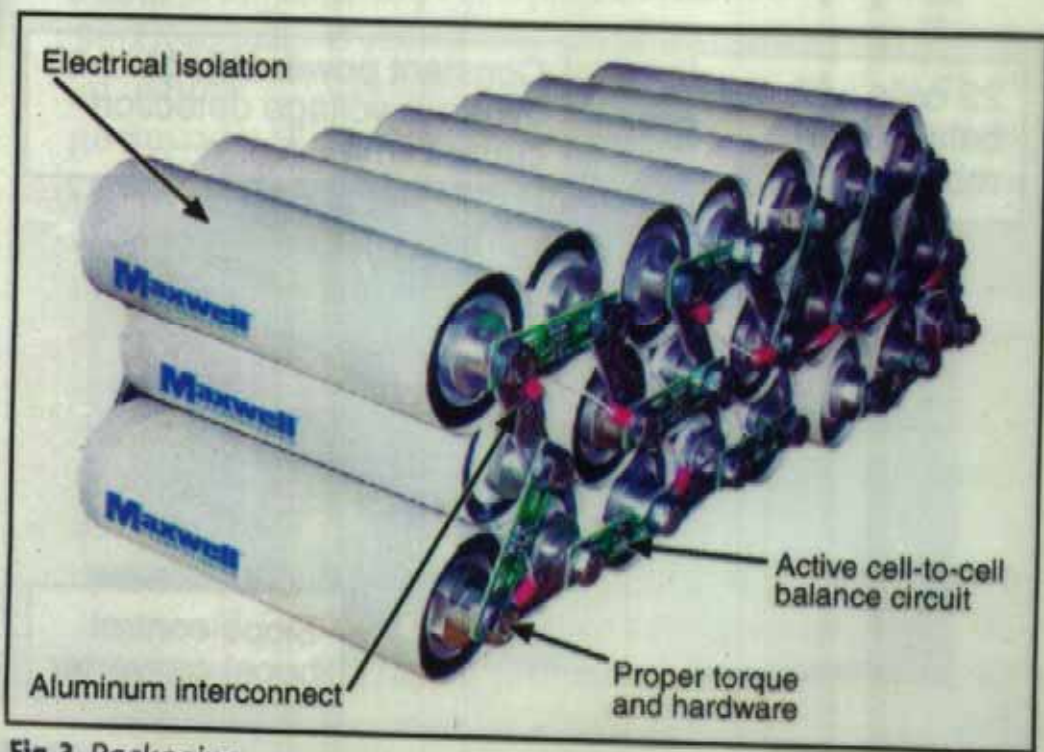
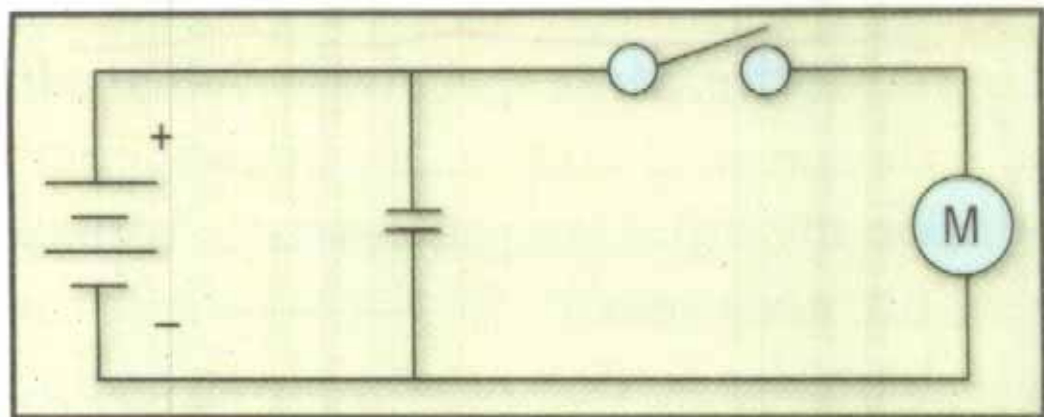


Fig.3. Packaging.

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**Fig. 1.** *Battery/ultracapacitor/motor arrangement.*

# Super-capacitor Applications

- A few example used in Power Distribution
  - Wind Power
  - Electric Bus Lines
- New but not on the market
  - Electric Toothbrushes
  - Electric Bicycles
  - Smoke Detectors

